

Notice of Summoning to attend The Annual General Meeting of Shareholders No. 1/2565

Capital Nomura Securities Public Company Limited

Friday, April 22, 2022 at 10.00 a.m.

**CNS Convention Center, 21/3 Thai Wah Tower, G Floor,
South Sathorn Road, Thungmahamek, Sathorn, Bangkok**



บริษัทหลักทรัพย์ โนมูระ พัฒนสิน จำกัด (มหาชน)
CAPITAL NOMURA SECURITIES PUBLIC COMPANY LIMITED

Ref: CNS 313/2022 (E)

March 30, 2022

Subject: Notice of Summoning to attend the Annual General Meeting of Shareholders No. 1/2565

To: The Shareholders of Capital Nomura Securities Public Company Limited ("the Company")

Attachments:

1. A copy of the Minutes of the Annual General Meeting of Shareholders No. 1/2564
2. Biographies of the Company's Directors retiring by rotation and whose re-election is proposed to the Shareholders' Meeting to be the Company's Directors for another term
3. Registration and Proxy Procedure
4. Biographies of Independent Directors, proposed by the Company to serve as proxy for shareholders
5. The Company's Articles of Association (AOA) relating to Shareholders' Meeting
6. Map of the meeting venue for the Annual General Meeting of Shareholders No. 1/2565 (CNS Convention Center)
7. Proxy forms
8. Annual Report 2021
9. Guidelines for attending the Annual General Meeting of Shareholders No. 1/2565, regarding the outbreak of Coronavirus 2019 (COVID-19)

By the resolution of the Board of Directors ("the Board") Meeting of the Company No. 1/2565 held on February 18, 2022 and No. 2/2565 held on March 23, 2022, the Company had scheduled the book closing date on April 5, 2022 to collect the shareholders list who are entitled to attend the Annual General Meeting of Shareholders No. 1/2565 which will be held on Friday, April 22, 2022 at 10.00 a.m., at CNS Convention Center, 21/3 Thai Wah Tower, G Floor, South Sathorn Road, Thungmahamek, Sathorn, Bangkok, with the following agendas:

Agenda 1 To consider adopting the Minutes of the Annual General Meeting of Shareholders No. 1/2564 held on April 28, 2021

Fact and reason

The Minutes of the Annual General Meeting of Shareholders No. 1/2564 held on April 28, 2021 (as per the Attachment No. 1), were made and submitted to the Office of Securities and Exchange Commission ("the SEC") and the Ministry of Commerce within 14 days after the meeting date. In

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addition, such minutes have already been posted on the Company's website (www.nomuradirect.com).

The Board of Directors' opinion

The Board of Directors considered that the Minutes of the Annual General Meeting of Shareholders No. 1/2564 had been stated correctly and completely. Therefore, the Board of Directors resolved to propose the Shareholders' Meeting to adopt these minutes.

Resolution

The resolution for this agenda must be passed by a majority of the votes of the shareholders who attend the meeting and cast their votes according to the Company's AOA, Article 19.

Agenda 2 Matter arising

Fact and reason

According that the Company is required to report the utilization or non-utilization of the financial amount for issuance and offering for sale of all types of debentures which was approved by the Shareholders' Meeting. Accordingly, the Company shall report the progress to the Shareholders' Meeting for acknowledgement of such matter.

The Board of Directors' opinion

The Board of Directors resolved to report to the Shareholders' Meeting for acknowledgement that the Company didn't have the issuance and offering for sale of any debentures or debt instruments during the year 2021.

Resolution

This agenda is for acknowledgement, thus there will be no casting of vote.

Agenda 3 To acknowledge the Company's performance for the year ended December 31, 2021

Fact and reason

The Chairman of the Board of Directors shall summarize the Company's performance, factors which had an impact on the business and significant matters occurred during the year ended December 31, 2021 to the Shareholders' Meeting for acknowledgement.

The Board of Directors' opinion

The Board of Directors deemed appropriate to report the Company's performance for the year ended December 31, 2021 to the Shareholders' Meeting for acknowledgement.

Resolution

This agenda is for acknowledgement, thus there will be no casting of vote.

Agenda 4 To consider and approve the Company's financial statements and the Auditor's report for the year ended December 31, 2021**Fact and reason**

To comply with the Public Company Limited Act B.E. 2535 and the Company's Articles of Association which provide that the Board of Directors must prepare the financial statements as of the end of the Company's fiscal year and submit the same to the Annual General Meeting of Shareholders for consideration and approval. The Company, therefore, prepared the financial statements for the year ended December 31, 2021 in accordance with Financial Reporting Standards. These financial statements were already audited by the Certified Public Accountant and reviewed by the Company's Audit Committee. (as detailed in the Attachment No. 8: Annual Report 2021)

The Board of Directors' opinion

The Board of Directors considered that the Company's financial statements for the year ended December 31, 2021 were reasonably accurate and fairly presented the Company's financial position and performance in accordance with Thai Financial Reporting Standards. Therefore, the Board of Directors resolved to propose the Shareholders' Meeting to approve these financial statements which were audited by the Certified Public Accountant and reviewed by the Company's Audit Committee.

Resolution

The resolution for this agenda must be passed by a majority of the votes of the shareholders who attend the meeting and cast their votes according to the Company's AOA, Article 19.

Agenda 5 To consider and approve the profit appropriation from the net profit for the year ended December 31, 2021 and the dividend payment**Fact and reason**

According to the Public Company Limited Act B.E. 2535 and the Company's Articles of Association, the Company must allocate not less than 5% of its annual net profit, after deducting accumulated deficit brought forward (if any), to be a legal reserve until that legal reserve reaches a level not less than 10% of the registered capital. As of December 31, 2021, the Company was required to appropriate annual net profit to be a legal reserve of not less than Baht 22,586,241 calculated from 5% of net profit at Baht 451,724,820. However, the Company has already set up the statutory reserve at Baht 211,900,000. Then, the remaining amount of statutory reserve that requires the Company to additionally set up was Baht 3,146,900 until the legal reserve reaches a level equal to 10% of the Company's registered capital at Baht 215,046,900. The Company also has a policy to propose to the Shareholders' Meeting the dividend payout ratio of at least 60% of annual net profit, except in case that the Company needs to set aside its capital for business expansion or other significant activities. However, the dividend payment shall be subject to the resolution of the Shareholders' Meeting.

Information of the profit appropriation and the dividend payment

The profit appropriation and the dividend payment	Fiscal year 2021 (Jan2021-Dec2021)	Fiscal year 2020 (Jan2020-Dec2020)	Fiscal year 2019 (Jan2019-Dec2019)	Fiscal year 2018 (Jan2018-Dec2018)
Net profit (million Baht)	451.72	353.62	128.81	177.52
Legal reserve (million Baht)	3.15	17.70	6.50	8.90
General reserve (million Baht)	-	-	-	-
Number of shares at the end of the year (At par of Baht 1 per share)	2,150,469,000	2,150,469,000	2,150,469,000	2,150,469,000
Dividend payment per share (Baht) (At par of Baht 1 per share)	0.208 ¹	0.16	0.056	0.078
Dividend Pay-out ratio (% of net profit)	99.02 ¹	97.30	93.49	94.49
Dividend amount for payment (million Baht)	447.30 ¹	344.08	120.43	167.74

¹ A dividend payment from the net profit for the fiscal year 2021 after deducting the legal reserve shall be subject to the resolution of the Annual General Meeting of Shareholders No. 1/2565.

The Board of Directors' opinion

The Board of Directors considered that the Company had the net profit for the year ended December 31, 2021. Accordingly, the Company was required to appropriate the profit from the net profit as additional legal reserve not less than 5% of the annual net profit until the reserve had reached at least 10% of the Company's registered capital. In addition, the Company had enough profit to pay dividend. As at December 31, 2021, the Company was required to appropriate the annual net profit as legal reserve at least Baht 22,586,241 which is equal to 5% of the net profit at Baht 451,724,820. However, CNS has already set up the statutory reserve at Baht 211,900,000. Then, the remaining amount of statutory reserve that requires the Company to additionally set up was Baht 3,146,900 until the legal reserve reaches a level equal to 10% of the Company's registered capital at Baht 215,046,900. Therefore, the Board of Directors resolved to propose the Shareholders' Meeting to consider and approve the profit appropriation from the net profit for the year ended December 31, 2021 as a legal reserve of Baht 3,146,900 and the dividend payment at the rate of Baht 0.208 per share or approximately equivalent to 99.02% of the net profit for the year ended December 31, 2021 which is in line with the Company's dividend policy. The dividend payment shall be paid from the net profit for the year 2021 after deducting the legal reserve. The detail of the profit appropriation and the dividend payment shall be as below.

Legal reserve	Baht	3,146,900.00
General reserve	Baht	0.00
Dividend payment at Baht 0.208 per share	Baht	447,297,552.00

(Based on the total paid-up shares of 2,150,469,000 shares at par of Baht 1 per share)

The book closing date to collect the shareholders list who are entitled to receive the dividend was set to be on April 5, 2022. The dividend payment date was set to be on May 5, 2022. However, this dividend payment shall be subject to the resolution of the Shareholders' Meeting.

Resolution

The resolution for this agenda must be passed by a majority of the votes of the shareholders who attend the meeting and cast their votes according to the Company's AOA, Article 19.

Agenda 6 To consider the election of directors to replace those retiring by rotation**Fact and reason**

According to the Public Company Limited Act B.E. 2535 and the Company's Articles of Association, it is required that "at each annual general meeting of shareholders, one-third of the directors shall retire. If the number of directors is not a multiple of three, the number of directors nearest to one-third shall retire from office. A director retiring by rotation is eligible for re-election." The directors who shall retire by rotation in 2022 are:

- | | |
|---------------------------|----------|
| 1. Mr. Suthep Peetakanont | Director |
| 2. Mr. Katsuya Imanishi | Director |

The Board of Directors' opinion

The Board of Directors, excluding directors who will be retired by rotation, considered that those 2 directors as listed below were knowledgeable and capable with strong determination in performing their duties as the Company's directors and during the past period, their actions were taken in good faith and to the best interest of the Company and the shareholders, then resolved to propose the Shareholders' Meeting to re-appoint those 2 directors due to retire in 2022 whose names are listed below to be the Company's directors for another term.

1. Mr. Suthep Peetakanont
2. Mr. Katsuya Imanishi

(Biographies of those 2 directors are in the Attachment No. 2)

Resolution

The resolution for this agenda must be passed by a majority of the votes of the shareholders who attend the meeting and cast their votes according to the Company's AOA, Article 19.

Agenda 7 To consider and approve the remuneration of directors and members of the Audit Committee**Fact and reason**

The Board of Directors has a policy to propose the Shareholders' Meeting the appropriate rate of director's and Audit Committee's remuneration to align with the below factors.

1. Duties and responsibilities of each director.
2. Director's and Audit Committee's remuneration rate paid by other companies in the same industry.
3. Other related factors.

For the fiscal year 2021, the remuneration rate of directors and members of the Audit Committee are follows:

Director's annual remuneration for

- Chairman of the Board of Directors at Baht 250,000 annually, which was the rate as approved since 1998
- Each Independent Director at Baht 260,000 annually, which was the rate as approved since 2017

Director's annual remuneration applies only to the Chairman of the Board of Directors and Independent Directors which was the same rate as last year. Other Executive Director and non-executive director are not entitled to receive such remuneration.

Meeting Allowance for each Independent Director attending the board meetings at Baht 20,000 per meeting which was the rate as approved since 2014.

Audit Committee's annual remuneration for

- Chairperson of the Audit Committee at Baht 320,000 annually, which was the rate as approved since 2018.
- Each other member of the Audit Committee at Baht 260,000 annually, which was the rate as approved since 2018.

Remuneration (Baht)	Fiscal year 2022 (Jan2022- Dec2022)	Fiscal year 2021 (Jan2021- Dec2021)	Fiscal year 2020 (Jan2020- Dec2020)
Director's annual remuneration			
- Chairman of the Board of Directors	250,000 ¹	250,000	250,000
- Each Executive Director	-	-	220,000
- Each Independent Director	260,000 ¹	260,000	260,000
- Each other Non-Executive Director	-	-	220,000
Meeting allowance for each Independent Director (per meeting)	20,000 ²	20,000	20,000
Audit Committee's annual remuneration			
- Chairperson of the Audit Committee	320,000 ³	320,000	320,000
- Each other member of the Audit Committee	260,000 ³	260,000	260,000

¹ The Director's annual remuneration which is remuneration for the director performing his/her duties in year 2021 shall be subject to the resolution of the Annual General Meeting of Shareholders No. 1/2565.

² The meeting allowance for each Independent Director attending the board meetings for the year ended December 31, 2022 shall be subject to the resolution of the Annual General Meeting of Shareholders No. 1/2565

³ The Audit Committee's annual remuneration for the year ended December 31, 2022 shall be subject to the resolution of the Annual General Meeting of Shareholders No. 1/2565

The Board of Directors' opinion

The Board of Directors considered and resolved to propose the Shareholders' Meeting as followings;

1. To maintain the director's annual remuneration for the director performing his/her duties in year 2021 at the same rate as last year which applies only to the Chairman of the Board of Directors and Independent Directors. Other Director and non-executive directors are not entitled to receive such remuneration.
2. To maintain the meeting allowance for each Independent Director attending the board meetings for the year ended December 31, 2022 at the same rate as last year.
3. To maintain the Audit Committee's annual remuneration for the year ended December 31, 2022 at the same rate as last year. The Audit Committee's annual remuneration shall apply only to the Chairperson of Audit Committee and other member of Audit Committee who are independent directors.

The remuneration of directors and members of the Audit Committee are follows:

Director's annual remuneration which is remuneration for the director performing his/her duties in year 2021 and calculated proportionately to the term in position based on annual remuneration shall be paid as below:

- | | |
|---------------------------------------|-----------------------|
| 1. Chairman of the Board of Directors | Baht 250,000 annually |
| 2. Each Independent Director | Baht 260,000 annually |

Meeting allowance for each Independent Director for attending the board meetings for the year ended December 31, 2022 shall be paid at the rate of Baht 20,000 per meeting.

Audit Committee's annual remuneration for the year ended December 31, 2022 and calculated proportionately to the term in position based on annual remuneration shall be paid as below:

- | | |
|---|-----------------------|
| 1. Chairperson of Audit Committee | Baht 320,000 annually |
| 2. Each other member of Audit Committee | Baht 260,000 annually |

The Audit Committee's annual remuneration as above shall apply only to the Chairperson of Audit Committee and other member of Audit Committee who are independent directors.

The Company has not provided other benefits for director who is non-executive director.

Resolution

The resolution for this agenda must be passed by a majority of the votes of the shareholders who attend the meeting according to the Company's AOA, Article 39.

Agenda 8 To consider the appointment of Company's auditor and the audit fees for the accounting periods starting from January 1, 2022 and ending on December 31, 2022

Fact and reason

According to the Public Company Limited Act B.E. 2535, it is required that the Annual General Meeting of Shareholders shall appoint the auditor and determine the annual audit fees.

The Audit Committee considered and agreed to propose the Board of Directors as below.

1. To appoint EY Office Limited as the Company's auditor, which consists of Ms. Ratana Jala, CPA (Thailand) - License number 3734 and/or Ms. Somjai Khunapasut, CPA (Thailand) - License number 4499 and/or Ms. Rachada Yongsawadvanich, CPA (Thailand) - License number 4951 and/or Ms. Saranya Pludsri, CPA (Thailand) - License number 6768 of EY Office Limited as the Company's auditors, any one of them is authorized to conduct the audit and express opinion on the Company's financial statements for the accounting periods starting from January 1, 2022 to December 31, 2022 and other related periods which used to compare with such audited or reviewed periods in accordance with Thai Financial Reporting Standards. In case that the said auditors cannot perform their duty, other auditors of EY Office Limited might be appointed by EY Office Limited to perform such audit instead.
2. To determine the audit fees of Baht 1,600,000 for the accounting periods starting from January 1, 2022 to December 31, 2022, and Out-of-pocket expenses such as overtime cost, traveling expenses, and photocopying charged are to be reimbursed by the Company at actual cost but such Out-of-pocket expenses shall not exceed 60,000 Baht in total.

The proposal of the appointment of the auditors and the determination of the audit fees were considered based on the below factors.

1. Knowledge of the auditor which related to Thai Financial Reporting Standards and Thai Standards on Auditing, capability to manage and complete audit work efficiently, standard of the audit firm to control quality of audit job and other services provided to the Company, inclusive of advice, clarification of the new Thai Financial Reporting Standards and update of other information.

บริษัทหลักทรัพย์ โนมูระ พัฒนสิน จำกัด (มหาชน)
CAPITAL NOMURA SECURITIES PUBLIC COMPANY LIMITED

The Company has used auditing service from the auditors of EY Office Limited, each auditor approved by the SEC, since the fiscal year 2003.

Certified Public Accountant	Certified Public Accountant (Thailand) No.	Fiscal year	Period
Mr. Ruth Chaowanagawi	3247	2003	1 fiscal year
Ms. Ratana Jala	3734	2004-2008	5 fiscal years
Mrs. Nonglak Pumnoi	4172	2009-2011	3 fiscal years
Ms. Somjai Khunapasut	4499	for the accounting period from September 1, 2011 to December 31, 2013	3 fiscal years
Ms. Ratana Jala	3734	2014-2021	8 fiscal years

From the past, the performance of the auditors of EY Office Limited has been satisfactory. They strictly followed work schedule and provided beneficial advice to the Company.

2. Appropriate and sufficient time allocated to serve the Company

The auditors of EY Office Limited have allocated appropriate and sufficient time to attend the meeting with the Company's managements and Audit Committee, and also have attended the Company's Annual General Meeting of Shareholders to answer related questions every year.

3. Reputation as auditor and track record of providing audit services to other companies in the same industry

The auditors of EY Office Limited have been appointed to be auditors of several listed securities companies.

4. Appropriate and comparable audit fee rate with other listed securities companies

The proposed audit fees from EY Office Limited is comparable to the Company's audit fees in the previous fiscal years and other listed securities companies with similar size and services to the Company.

5. Other business relationship with the Company and connected person which might lead to conflict of interest concern

EY Office Limited has been independent and has not had any other business relationship with the Company.

The Company has not used any services from EY Office Limited other than auditing and tax services.

Record of appointing auditors and audit fees in the previous fiscal years

For the fiscal year	Fiscal year 2021 (Jan21-Dec21)	Fiscal year 2020 (Jan20-Dec20)	Fiscal year 2019 (Jan19-Dec19)	Fiscal year 2018 (Jan18-Dec18)
Audit firm	EY Office Limited	EY Office Limited	EY Office Limited	EY Office Limited
Auditor Name	Ms. Ratana Jala	Ms. Ratana Jala	Ms. Ratana Jala	Ms. Ratana Jala
Reason of changing the auditor	-	-	-	-
Audit fees (Baht) (excluding Out of Pocket expense)	1,500,000	1,500,000	1,600,000	1,500,000
Relationship or other interest between auditor and the Company and connected persons	None	None	None	None
Other service fees (Baht)				
- Audit fees for the Company's provident fund	None	None	None	None
- Legal service	None	None	None	None
- Tax service	None	None	None	220,000

The Board of Directors' opinion

The Board of Directors considered and resolved to propose the Shareholders' Meeting as below.

- To appoint EY Office Limited as the Company's auditor, which consists of Ms. Ratana Jala, CPA (Thailand) - License number 3734 and/or Ms. Somjai Khunapasut, CPA (Thailand) - License number 4499 and/or Ms. Rachada Yongsawadvanich, CPA (Thailand) - License number 4951 and/or Ms. Saranya Pludsri, CPA (Thailand) - License number 6768 of EY Office Limited as the Company's auditors, any one of them is authorized to conduct the audit and express opinion on the Company's financial statements for the accounting periods starting from January 1, 2022 to December 31, 2022 and other related periods which used to compare with such audited or reviewed periods in accordance with Thai Financial Reporting Standards. In case that the said auditors cannot perform their duty, other auditors of EY Office Limited might be appointed by EY Office Limited to perform such audit instead.
- To determine the audit fees of Baht 1,600,000 for the accounting periods starting from January 1, 2022 to December 31, 2022, and Out-of-pocket expenses such as overtime cost, traveling expenses, and photocopying charged are to be reimbursed by the Company at actual cost but such Out-of-pocket expenses shall not exceed 60,000 Baht in total.

The proposed appointment of the auditors and the determination of audit fees are in accordance with the proposal of the Audit Committee.

Resolution

The resolution for this agenda must be passed by a majority of the votes of the shareholders who attend the meeting and cast their votes according to the Company's AOA, Article 19.

Agenda 9 Other matters (if any)

The Company realizes the importance of the right of the shareholders, therefore, the Company would like to ask for your cooperation to attend the meeting on the date, time and place as mentioned above for your benefit in acknowledgement of the performance of the Company and casting the voting right in the Meeting of Shareholders. If you cannot attend the meeting, please appoint someone or one of the Company's independent directors to attend the meeting on your behalf. In such case, please complete and execute one of the enclosed proxies for your appointee to present to the Company on the meeting date. The executed proxy must be deposited with an assigned officer before the commencement of the meeting. Registration for shareholders attendance will start at 8.30 a.m. onwards.

Please be informed accordingly,

Yours faithfully,



(Mr. Suthep Peetakanont)
Chairman of the Board of Directors and
Chairman of the Board of Executive Directors

บริษัทหลักทรัพย์ โนมูระ พัฒนสิน จำกัด (มหาชน)
CAPITAL NOMURA SECURITIES PUBLIC COMPANY LIMITED

-Translation-

Minutes of the Annual General Meeting of Shareholders No. 1/2564
(Not adopted by the Shareholders' Meeting yet.)
of Capital Nomura Securities Public Company Limited,
Held on Wednesday, April 28, 2021 at 10.00 a.m.

At Meeting room, 15th Floor, the Company's Head Office, 25 Bangkok Insurance Building,
South Sathorn Road, Thungmahamek, Sathorn, Bangkok and Meeting room, G Floor, Convention
Center, 21/3 Thai Wah Tower, South Sathorn Road, Thungmahamek, Sathorn, Bangkok

Mr. Suthep Peetakanont, Chairman of the Board of Directors and Chairman of the Board of Executive Directors, was the Chairman of the Annual General Meeting of Shareholders No. 1/2564 (hereinafter "the Chairman"), informed the Annual General Meeting of Shareholders No. 1/2564 (hereinafter "the Meeting") that as the Meeting opened at 10.01 a.m., a total of 28 shareholders and proxy of shareholders with voting rights attended the Meeting. Those presented at the Meeting owned a total of 2,131,267,733 shares, or 99.11% of the issued and paid-up shares of Capital Nomura Securities Public Company Limited (hereinafter "the Company"), which were not less than the minimum requirement, 25-person with an aggregate of not less than one-third of the Company's total 2,150,469,000 paid-up shares. With a quorum thus constituted in accordance with the Company's Articles of Association, the Chairman declared the Meeting open according to the agendas outlined in the invitation letter sent to the Company's shareholders prior to the Meeting.

The Chairman informed the Meeting about the communication between the two meeting places and attendees at each meeting place and requested cooperation from all attendees to follow the government measures to prevent the spread of COVID-19.

The Chairman introduced the 5 directors, who attended the Meeting out of the total 6 members of the Board of Directors (83.33% Meeting Attendance), as follows:

- | | |
|---|--|
| 1. Mr. Suthep Peetakanont | Director, appointed by the Board of Directors to be Chairman of the Board of Directors and Chairman of the Board of Executive Directors; |
| 2. Mr. Katsuya Imanishi | Director, appointed by the Board of Directors to be President; |
| 3. Associate Professor Dr. Danuja Kunpanichakit | Independent Director, appointed by the Board of Directors to be member of the Audit Committee and appointed by the Audit Committee to be Chairperson of the Audit Committee; |
| 4. Col. Ruangsub Kovindha | Independent Director, appointed by the Board of Directors to be member of the Audit Committee; |
| 5. Mr. Prasert Virasathienpornkul | Independent Director, appointed by the Board of Directors to be member of the Audit Committee |

The other director who was a foreigner and could not travel abroad to attend this Meeting due to the outbreak of COVID-19 was Mr. Philip Wing Lun Chow, Director, Non-Executive Director and Non-Independent Director.

The Chairman informed the Meeting that the Company also has other sub-committees, namely:

- The Audit Committee, having Associate Professor Dr. Danuja Kunpanichakit as its Chairperson
 - The Board of Executive Directors, having Mr. Suthep Peetakanont as the Chairman of the Board of Executive Directors
 - Credit Review Committee, having Mr. Katsuya Imanishi as the Chairman of the Credit Review Committee.
 - Risk Management Committee, having Mr. Katsuya Imanishi as the Chairman of the Risk Management Committee.
 - Anti-Money Laundering Committee, having Ms. Rungthip Kittanaseree, Chief Compliance Officer, as the Chairperson of the Anti-Money Laundering Committee.
- etc.

The Chairman then introduced Miss Kridsana Kulpanyalert, Division Head of Finance Division and the person supervising accounting (Chief Accountant) – by having Mr. Katsuya Imanishi, who has the highest responsibility in finance and accounting (Chief Financial Officer: CFO).

The Chairman further introduced the attendees of the Meeting, Ms. Ratana Jala, Audit Partner, and Ms. Natnicha Aramtiantamrong, Audit Manager from EY Office Limited.

The Chairman informed the Meeting that the Company had appointed Ms. Sirintra Chaochob, Department Head of Internal Audit Department to be the scrutineer of the shareholders' voting and vote counting procedures of the Meeting, in compliance with the Company's Good Corporate Governance Policy.

The Chairman then informed the Meeting about the shareholders' voting and vote counting procedures for each agenda as follows.

- Each shareholder's or proxy's vote would be equal to the number of shares that the shareholder or the proxy grantor owns. Each shareholder would be allowed to cast their vote to agree, disagree or abstain with respect to each agenda item with their votes being equal to the number of shares held by each shareholder or the person who granted them the proxy rights. The votes would be cast using the voting card that was distributed during the registration process for the Meeting.
- The shareholders attending the meeting in person and by proxy for Form 1 and Form 2 shall not be allowed to divide his/her vote for each agenda item, unlike the proxy for Form 3 for foreign shareholders who had custodians in Thailand.
- A voting ballot is considered invalid when shareholders or proxies do not clearly express their intention on the ballots, such as there are more than one marked box on the ballots or there is no countersign on the ballot where changes of votes are made.
- If the shareholder had appointed a proxy to cast their votes on their behalf in accordance with his/her instructions (for Form 2), the Company shall input his/her votes into the computer. Therefore, the proxy shall not be allowed to vote again.

- For Agenda 6 concerning the election of the directors, the shareholders shall be allowed to cast their vote for each director on individual basis. Then, the Chairman shall count the number of votes that each director has received and announce the results in accordance with the principles of good corporate governance.
- Regarding the asking for resolutions and counting of votes for each agenda, to avoid any disruption of the vote counting, the Chairman would ask shareholders or proxy with the following steps:
 1. Any shareholder or proxy who votes to disagree shall raise his/her hand so that the staff could collect their ballot, then the votes for that particular agenda could be recorded.
 2. Any shareholder or proxy who abstains from voting shall raise his/her hand so that the staff could collect their ballot, then the votes for that particular agenda could be recorded.

The remaining votes shall be considered as votes of agree. Only the votes to disagree or abstain with respect to each agenda item shall be counted and affected the vote calculation according to the law and the Company's Articles of Association. The result of each agenda will show on the screen. In case of voided ballots, the total voided ballots of each agenda will be shown on screen for Shareholders' acknowledgement.

- If the majority votes to agree and it is in accordance with the Company's Articles of Association with respect to the vote, it shall be deemed that the Meeting agrees or approves such agenda.
- The number of votes with respect to each agenda item might be different from the total number of voting rights that were announced at the commencement of the Meeting in case some shareholders had registered afterwards.
- Before casting their votes for each agenda item, the shareholders shall be given an opportunity to ask questions relevant to a particular agenda item. The shareholders who wished to ask questions were requested to state their names and surnames before asking questions or expressing their opinions. In case that any shareholder would like to raise questions or express their opinions on topics that were not related to the main items on the agenda, they shall be allowed to do so during the discussion of Agenda 10 (Other matters).
- The Company requested to collect all of the ballots from the shareholders and proxy holders at the end of the Meeting, in compliance with the good corporate governance.

The shareholders who were to disagree with these voting and vote counting procedures were given an opportunity to express him/herself.

As no shareholders went against the above shareholders' voting and vote counting procedures, the Chairman then further informed the shareholders that the Company has disclosed the Notice of Invitation to attend the Annual General Meeting of Shareholders and other supporting documents, both in Thai and English, on the Company's website as well as in the Tunhoon Newspaper for the dates of April 12 - 19, 2021 totaling 3 business days.

Agenda 1 To consider adopting the Minutes of the Annual General Meeting of Shareholders No. 1/2563 held on May 22, 2020

The Chairman reported to the Meeting that, according to the facts and reasons given in the invitation letter to shareholders, the Board of Directors had considered that the Minutes of the Annual General Meeting of Shareholders No. 1/2563 held on May 22, 2020 had been recorded correctly and completely. Therefore, the Board of Directors resolved to propose the Meeting to adopt these minutes.

The shareholders were given an opportunity to ask questions.

- Question the shareholder suggested that the Minutes of the Annual General Meeting of Shareholders No. 1/2563 should have the questions from shareholders.

Answer the Chairman thanked the shareholder for the suggestion and will be taken into consideration.

No further questions were raised. The Chairman then requested the Meeting to consider and vote.

The Meeting resolved with a majority of the votes of the shareholders who attended the Meeting and casted their votes to adopt the Minutes of the Annual General Meeting of Shareholders No. 1/2563 held on May 22, 2020 with the votes comprising of:

Agreed	2,131,259,984	votes	equivalent to	99.9996%
Disagreed	8,000	votes	equivalent to	0.0004%
Abstained	6,293	votes		
Void ballots	0	votes		

Agenda 2 Matter arising

The Chairman reported to the Meeting that, according to the facts and reasons given in the invitation letter to shareholders, the Board of Directors resolved to report to the Shareholders' Meeting for acknowledgement that the Company did not utilize the limit of issuance and offering for sale of debenture in fiscal year 2020.

The shareholders were given an opportunity to ask questions.

No questions were raised. The Chairman then informed the Meeting that this agenda was for the Meeting's acknowledgement of the matter arising; therefore, there was no voting for this agenda.

The Meeting acknowledged the matter arising.

Agenda 3 To acknowledge the Company's performance for the year ended December 31, 2020

The Chairman reported to the Meeting that, according to the facts and reasons given in the invitation letter to shareholders, the Board of Directors resolved to report the Company's performance for the year ended December 31, 2020 to the Meeting.

The Chairman assigned Miss Kridsana Kulpanyalert, Division Head of Finance Division and the person supervising accounting (Chief Accountant), to report the Company's performance for the year ended December 31, 2020 to the Meeting.

Miss Kridsana Kulpanyalert, Division Head of Finance Division and the person supervising accounting (Chief Accountant), reported the Company's performance for the year ended December 31, 2020 to the Meeting. (Details of the Company's performance were disclosed in the 2020 Annual Report.)

The shareholders were given an opportunity to ask questions.

- Question the shareholders asked the questions as follows:
 1. What is the Company's market share in brokerage business, and what kind of business the Company does should be improved?
 2. What is the Company's plan to run business to cope with the pandemic COVID-19 situation?
- Answer the Chairman assigned Miss Kridsana Kulpanyalert, Head of Finance Division, to answer Question No. 1
 1. Miss Kridsana Kulpanyalert, Head of Finance Division, clarified to the shareholders that the Company's market share in retail clients was 2.14% at the end of 2020 increasing from 2.01% at the end of 2019, and the market share in domestic institutional clients at the end of 2020 was 4.16% increasing from end of 2019 which was 3.94%. In addition, the Chairman further clarified that now the market trading volume structure was changed from 5 years ago. The majority of trading was from foreign investors with higher proportion.
 2. The Chairman clarified that for sustainability and long-term growth, the Company continue to focus to generate income from various business such as brokerage business, margin loan business and selling agent business, etc.

No more questions were raised. The Chairman then informed the Meeting that this agenda was for the Meeting's acknowledgement, therefore, there was no voting for this agenda.

The Meeting acknowledged the Company's performance for the year ended December 31, 2020.

Agenda 4 To consider and approve the Company's financial statements and the Auditor's report for the year ended December 31, 2020

The Chairman reported to the Meeting that, according to the facts and reasons given in the invitation letter to shareholders, the Board of Directors had considered that the Company's financial statements for the year ended December 31, 2020 were reasonably accurate and fairly presenting the Company's financial position and performance in accordance with Thai Financial Reporting Standards. Therefore, the Board of Directors resolved to propose the Shareholders' Meeting to adopt these financial statements, which were audited by the Certified Public Accountant and reviewed by the Company's Audit Committee.

The shareholders were given an opportunity to ask questions.

- Question the shareholders asked the questions as follows:
 1. What is the reason why there was no key audit matter in the auditor's report of this year?
 2. In 2020, what are the significant matters in financial statement that the auditor had paid special attention?
 3. Due to adoption TFRS 9, how to calculate allowance and what is the reversal of expected credit losses?
 4. In Statements of Cash Flows on page 29, what is the reversal of expected credit losses in amount of Baht 106 million, which was one of reasons for the decrease of cash from operating activities from 2019?
 5. In Statements of Cash Flows, net cash from investing activities for 2020 increased from 2019. One reason was net cash from investment measured at amortised cost of Baht 85.68 million. Then what was the composition of this kind of investment and what was the outstanding investment balance held by the Company at the end of 2020?
 6. In Statements of Cash Flows, cash paid for lease liability in the amount of Baht 53 million were derived from what kinds of leases?

Answer Ms. Ratana Jala, the Company's auditor clarified the shareholders on Question No. 1 to No. 3 as follows:

1. Only listed companies are required to disclose Key Audit matter in their auditor's report. Due to the fact that the Company is non listed company, then there is no requirement to disclose.
2. In 2020, the auditor paid attention on brokerage fee, interest on margin loans, the adequacy of allowance for expected credit losses on financial assets and accounting provisions.
3. Due to adoption TFRS 9 which has been effective from 2020, auditor considered whether the allowance for expected credit losses on financial assets was appropriately set up. For brokerage business, the

important part was securities receivable. The allowance for expected credit losses on credit balance account is based on the historical experience and adjusted this for specific factor and plus on forecasts of future economic conditions. In determining whether credit risk has increased significantly since initial recognition, the Company takes into account the status of outstanding receivables and maintenance of required collateral values in the contract.

Ms. Soontaree Soongsongkiat, Assistant Department Head of Finance Department answered the shareholders on Question No. 4 to No. 6 as follows:

4. In the past, the Company had set up an allowance for doubtful account of a credit balance client whose collateral securities were ordered to be frozen. During 2020, the Company was granted to sell such securities to settle debt therefore the Company forced sell a part of securities placed as collateral of this client's credit balance account and reversed the allowance for doubtful account. Therefore, in Statement of cash flows, this transaction was disclosed at Adjustment to reconcile profit before income tax as Expected credit losses (reversal).
5. Net cash received from investments measured at amortised cost in Statement of cash flows were mainly from selling Held-to-maturity debt securities including Deposits subject to restriction and as end of 2020, there was no outstanding of Deposits subject to restriction.
6. Because the Company has adopted TFRS 16 Leases, the presentation and disclosure of lease contracts were changed from rental expense to the right of use and lease liability measured at present value of lease payments over the contract period and recognized as depreciation and interest. The lease agreements were mainly the Company's office buildings, vehicles and office equipment.

No further questions were raised. The Chairman then requested the Meeting to consider and vote.

The Meeting resolved with a majority of the votes of the shareholders who attended the Meeting and casted their votes to approve the Company's financial statements and the Auditor's report for the year ended December 31, 2020 with the votes comprising of:

Agreed	2,131,268,087	votes	equivalent to	100.0000%
Disagreed	0	votes	equivalent to	0.0000%
Abstained	6,293	votes		
Void ballots	0	votes		

Agenda 5 To approve the profit appropriation from the net profit for the year ended December 31, 2020 and the dividend payment

The Chairman reported to the Meeting that, according to the facts and reasons given in the invitation letter to shareholders, as of December 31, 2020, the Company was required to appropriate the annual net profit as a legal reserve of at least Baht 17,671,136.40. The Company also has the policy to propose dividend payment of at least 60% of the annual net profit to the Shareholders' Meeting, except for the cases where the Company needs to set aside a larger budget for business expansion or other significant activities. However, the dividend payment would still depend on the resolution of the Meeting. As the Board of Directors had considered that the Company had the net profit for the year ended December 31, 2020, the Company was required to set up additional legal reserve at 5% of the annual net profit until the reserve reaches 10% of the Company's registered capital. In addition, the Company had enough profit to pay dividend. Therefore, the Board of Directors resolved to propose the Meeting to approve the profit appropriation from the net profit for the year ended December 31, 2020 as a legal reserve of Baht 17,700,000.00 and the dividend payment at Baht 0.16 per share or approximately equivalent to 97.30% of the net profit for the year ended December 31, 2020, which was in line with the Company's dividend policy. The dividend payment was paid from the net profit for the year 2020 after the legal reserve appropriation and the retained earnings. The detail of the profit appropriation and the dividend payment shall be as per below.

Legal reserve	Baht	17,700,000.00
General reserve	Baht	0.00
Dividend payment at Baht 0.16 per share	Baht	344,075,040.00

(Based on the total paid-up shares of 2,150,469,000 shares at par of Baht 1 per share)

The book closing date to collect the shareholders list entitled to receive the dividend was April 7, 2021. The dividend payment date would be on May 11, 2021. However, this dividend payment depends on the resolution of the Shareholders' Meeting.

The shareholders were given an opportunity to ask questions.

No questions were raised. The Chairman then requested the Meeting to consider and vote.

The Meeting resolved with a majority of the votes of the shareholders who attended the Meeting and casted their votes to approve the profit appropriation from the net profit for the year ended December 31, 2020 as a legal reserve of Baht 17,700,000.00 and the dividend payment at Baht 0.16 per share, the dividend payment was paid from the net profit for the year 2020 after the legal reserve appropriation and the retained earnings, with the book closing date to collect the shareholders list entitled to receive the dividend was April 7, 2021. The dividend payment date would be on May 11, 2021 with the votes comprising of:

Agreed	2,132,268,088	votes	equivalent to	99.9997%
Disagreed	6,293	votes	equivalent to	0.0003%
Abstained	0	votes		
Void ballots	0	votes		



Agenda 6 To consider and approve the appointment of directors to replace those retiring by rotation, the appointment of the new director, and the change in total number of the Company's directors and to acknowledge the resignation of the directors**Agenda 6.1 To consider the election of directors to replace those retiring by rotation**

The Chairman reported to the Meeting that, according to the facts and reasons given in the invitation letter to shareholders, the directors who shall retire by rotation in 2021 are:

- | | |
|---|---|
| 1. Assoc. Prof. Dr. Danuja Kunpanitchakit | Independent Director and Chairperson of the Audit Committee |
| 2. Mr. Philip Wing Lun Chow | Director |

The Board of Directors, excluding directors with the beneficial interest, considered that those 2 directors as listed below were knowledgeable and capable with strong determination in performing their duties as the Company's directors and during the past period, their actions were taken in good faith and to the best interest of the Company and the shareholders, then resolved to propose the Shareholders' Meeting to re-appoint those 2 directors due to retire in 2021 whose names are listed below to be the Company's directors for another term.

- | | |
|---|---|
| 1. Assoc. Prof. Dr. Danuja Kunpanitchakit | proposed to re-elect to be Independent Director |
| 2. Mr. Philip Wing Lun Chow | proposed to re-elect to be Non-Executive Director |

The Chairman asked the Meeting to consider the election for each director on individual basis and he would announce the voting result on individual basis.

The shareholders were given an opportunity to ask questions.

- Question the shareholder asked the questions as follows:

Why is Mr. Philip Wing Lun Chow still a director despite he was not in Thailand and did not attend the Meeting?

Answer the Chairman clarified to the shareholder as follows:

Due to pandemic COVID-19, Mr. Philip Wing Lun Chow could not travel abroad, however he regularly attended the Board of Directors' Meeting through the Electronic Meeting and able to perform his director duties. Those reasons supported that Mr. Philip Wing Lun Chow was appropriate to be re-elected to be the Company's director for another term. In the past, Mr. Philip Wing Lun Chow performed his duty as Director for the best interest of the Company and the shareholders.

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No further questions were raised. The Chairman then requested the Meeting to consider and vote.

The Meeting resolved with a majority of the votes of the shareholders who attended the Meeting and casted their votes to re-elect Assoc. Prof. Dr. Danuja Kunpanitchakit to serve as Independent Director for another term with the votes comprising of:

Agreed	2,132,268,088	votes	equivalent to	99.9997%
Disagreed	6,293	votes	equivalent to	0.0003%
Abstained	0	votes		
Void ballots	0	votes		

The Meeting resolved with a majority of the votes of the shareholders who attended the Meeting and casted their votes to re-elect Mr. Philip Wing Lun Chow to serve as Non-Executive Director for another term with the votes comprising of:

Agreed	2,131,260,088	votes	equivalent to	99.9997%
Disagreed	6,293	votes	equivalent to	0.0003%
Abstained	8,000	votes		
Void ballots	0	votes		

Assoc. Prof. Dr. Danuja Kunpanitchakit thanked the shareholders.

Agenda 6.2 To consider and approve the appointment of a new director

The Chairman reported to the Meeting that, according to the facts and reasons given in the invitation letter to shareholders, the Board of Directors considered that it is appropriate to propose to the Shareholders' Meeting to appoint the new director. The Board of Directors has sought qualified candidate and considered that Mr. Naoyuki Oguri is the qualified person considering his knowledge, expertise, and experiences that are suitable for the Company.

It's resolved to propose the Shareholders' Meeting to consider and approve to appoint Mr. Naoyuki Oguri as the new director of the Company effective on April 28, 2021 upon the approval from the Shareholders Meeting and subject to the approval from the Office of Securities and Exchange Commission ("SEC") for the appointment of Mr. Naoyuki Oguri as the Company's non-executive director. SEC has already approved him on April 19, 2021.

The shareholders were given an opportunity to ask questions.

- Question the shareholder asked the question as follows:

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Mr. Naoyuki Oguri has not resided in Thailand and also could not travel abroad, is it appropriate for him to be the Company's director?

Answer the Chairman clarified to shareholders as follows:

Due to the pandemic COVID-19 situation, then, the director may not be able to travel to attend the Board of Directors' Meeting in Thailand. However, according to the Royal Decree on Electronic Meetings and the Announcement of the Ministry of Digital Economy and Society Re: Security Standards for Electronic Conferencing, then the Company has already provided such system which Mr. Naoyuki Oguri can attend the Board of Directors Meeting through Electronic Meeting. Last year, the Company has arranged the Electronic Board of Director Meeting with Mr. Philip Wing Lun Chow many times.

No further questions were raised. The Chairman then requested the Meeting to consider and vote.

The Meeting resolved with a majority of the votes of the shareholders who attended the Meeting and casted their votes to approve Mr. Naoyuki Oguri as the new director with the votes comprising of:

Agreed	2,131,268,088	votes	equivalent to	99.9997%
Disagreed	6,293	votes	equivalent to	0.0003%
Abstained	0	votes		
Void ballots	0	votes		

Agenda 6.3 To acknowledge the resignation of the directors

The Chairman informed that the 2 directors have tendered their resignation from the directorship position with effect on April 28, 2021 upon the completion of the Annual General Meeting of Shareholders as below.

1. Col. Ruangsub Kovindha Independent Director
2. Mr. Prasert Virasathienpornkul Independent Director

It's resolved to propose the Meeting to acknowledge their resignations from the directorship position with effect on April 28, 2021 upon the completion of the Annual General Meeting of Shareholders.

Therefore, the Chairman thanked Col. Ruangsub Kovindha and Mr. Prasert Virasathienpornkul for having honestly performed their duty as Directors and support the Company for long time.

Col. Ruangsub Kovindha and Mr. Prasert Virasathienpornkul thanked all shareholders.

The shareholders were given an opportunity to ask questions.

No questions were raised. The Chairman then informed the Meeting that this agenda was for the Meeting's acknowledgement, therefore, there was no voting for this agenda.

The Meeting acknowledged the resignation of directors.

Agenda 6.4 To consider and approve the change in total number of the Company Directors

The Chairman informed the Meeting that as regards the vacancy of the director offices due to the resignation of the two directors with effect from April 28, 2021 upon the completion of the Annual General Meeting of Shareholders and the proposal to appoint a new director as stated in the previous agendas, the Board of Directors considered that the Board composition of 5 directors are sufficient to perform duty of the Board and therefore propose for the Shareholders Meeting's approval of the change in total number of directors from 6 to 5 due to no appointment of directors to replace those who have tendered the resignation effective on April 28, 2021 upon the completion of the Annual General Meeting of Shareholders. The list of the Board members after the completion of the Meeting shall be 5 members as follows:

- | | |
|---|------------------------|
| 1. Mr. Suthep Peetakanont | Director |
| 2. Mr. Katsuya Imanishi | Director |
| 3. Mr. Philip Wing Lun Chow | Non-Executive Director |
| 4. Associate Professor Dr. Danuja Kunpanichakit | Independent Director |
| 5. Mr. Naoyuki Oguri | Non-Executive Director |

The shareholders were given an opportunity to ask questions.

- Question the shareholder asked the question as follows:

If there are only 5 directors left, according to the law, the Company shall have independent director at least 1/3 of the board and what would be the Company's Audit Committee structure?

Answer the Chairman clarified to shareholders as follows:

Because the company is not a listed company in the Stock Exchange of Thailand, therefore, there is no regulation on the number of independent directors. According to the requirement of SEC, securities companies must have audit committee which consists of at least 2 non-executive directors.

No further questions were raised. The Chairman then requested the Meeting to consider and vote.

The Meeting resolved with a majority of the votes of the shareholders who attended the Meeting and casted their votes to approve the change in total number of the Company Directors as proposed with the votes comprising of:

Agreed	2,131,268,088	votes	equivalent to	99.9997%
Disagreed	6,293	votes	equivalent to	0.0003%
Abstained	0	votes		
Void ballots	0	votes		

Agenda 7 To consider and approve the remuneration of directors and members of the Audit Committee

The Chairman reported to the Meeting that, according to the facts and reasons given in the invitation letter to shareholders, the Board of Directors has the policy to propose the appropriate rates of Directors' and Audit Committee's remuneration that align with the below factors:

1. Duties and responsibilities of each director;
2. Director's and Audit Committee's remuneration rates paid by other companies in the same industry;
3. Other related factors.

The Board of Directors considered and resolved to propose the Shareholders' Meeting as followings;

- To maintain the director's annual remuneration for the director performance in the year 2020 at the same rate as last year which is to only the Chairman of the Board of Directors and Independent Directors. There will be no director's annual remuneration to the remaining Executive Director and Non-Executive Director.
- To maintain the Audit Committee annual remuneration for the year ended December 31, 2021 at the same rate as last year. The annual remuneration for the Audit Committee's Chairperson or member who is not an independent director shall not be entitled to the annual remuneration for audit committee.
- To maintain the meeting allowance for each Independent Director attending the board meetings for the year ended December 31, 2021 at the same rate as last year.

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The remuneration of directors and members of the Audit Committee are:

Director's annual remuneration is remuneration for the director performance in the year 2020 shall be calculated proportionately to the term in position based on annual remuneration as below.

- | | |
|---------------------------------------|-----------------------|
| 1. Chairman of the Board of Directors | Baht 250,000 annually |
| 2. Each Independent Director | Baht 260,000 annually |

Meeting allowance for each Independent Director for attending the board meetings for the year ended December 31, 2021 shall be paid at the rate of Baht 20,000 per meeting.

Audit Committee's annual remuneration for the year ended December 31, 2021 shall be calculated proportionately to the term in position based on annual remuneration as below.

- | | |
|---|-----------------------|
| 1. Chairperson of Audit Committee | Baht 320,000 annually |
| 2. Each other member of Audit Committee | Baht 260,000 annually |

The Audit Committee's annual remuneration as above shall be applied only with Chairperson and audit committee members who are independent directors.

The Company has no other benefits for Non-Executive Directors.

The shareholders were given an opportunity to ask questions.

No questions were raised. The Chairman then requested the Meeting to consider and vote.

The Meeting resolved with a majority of the votes of the shareholders who attended the Meeting to approve the payment of director's annual remuneration for the director performance in the year 2020, the meeting allowance for each Independent Director attending the board meetings for the year ended December 31, 2021, and the Audit Committee's annual remuneration for the year ended December 31, 2021 as proposed with the votes comprising of:

Agreed	2,131,268,088	votes	equivalent to	99.9997%
Disagreed	6,293	votes	equivalent to	0.0003%
Abstained	0	votes	equivalent to	0.0000%
Void ballots	0	votes	equivalent to	0.0000%

Agenda 8 To consider the appointment of Company's auditor and the audit fees for the accounting periods starting from January 1, 2021 and ending on December 31, 2021

The Chairman reported to the Meeting that, according to the facts and reasons given in the invitation letter to shareholders, the Company's Board of Directors had considered the proposal of Audit Committee and resolved to propose the Shareholders' Meeting for consideration as per below.

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1. To appoint EY Office Limited as the Company's auditors, namely (1) Ms. Ratana Jala, Certified Public Accountant (Thailand) No. 3734 and/or (2) Ms. Somjai Khunapasut, Certified Public Accountant (Thailand) No. 4499 and/or (3) Ms. Rachada Yongsawadvanich, Certified Public Accountant (Thailand) No. 4951 and/or (4) Ms. Wanwilai Phetsang, Certified Public Accountant (Thailand) No. 5315 of EY Office Limited as the Company's auditors, any one of them is authorized to conduct the audit and express opinion on the Company's financial statements for the accounting periods starting from January 1, 2021 to December 31, 2021 and other related periods which used to compare with such audited or reviewed periods in accordance with Thai Financial Reporting Standards. In case that the said auditors would not be available, other auditors from EY Office Limited might be appointed to perform such audit.
2. To determine the audit fees of Baht 1,500,000 for the accounting periods starting from January 1, 2021 to December 31, 2021, which is the same rate as the previous year (accounting period starting January 1, 2020 to December 31, 2020). Out-of-pocket expenses such as overtime cost, accommodation, traveling expenses, fax and photocopying charged are to be reimbursed by the Company at actual cost but not exceed 80,000 Baht.

The appointment of the auditors and the determination of audit fees were reviewed and proposed by the Audit Committee.

The shareholders were given an opportunity to ask questions.

- Question the shareholder asked the question as follows:

Does the company determine the number of years for an auditor signing on the company's financial statement and has any plan to determine such number of years?

Answer Assoc. Prof. Dr. Danuja Kunpanitchakit, Chairperson of the Audit Committee clarified to shareholders as follows:

SEC has a guideline for listed companies to rotate an auditor who has performed auditing for consecutive 7 fiscal years for 5 years. Such guideline is a good guideline which the company would consider whether and how to adopt in the future.

No further questions were raised. The Chairman then requested the Meeting to consider and vote.

The Meeting resolved with a majority of the votes of the shareholders who attended the Meeting and casted their votes to approve Ms. Ratana Jala, Certified Public Accountant (Thailand) No. 3734 and/or (2) Ms. Somjai Khunapasut, Certified Public Accountant (Thailand) No. 4499 and/or (3) Ms. Rachada Yongsawadvanich, Certified Public Accountant (Thailand) No. 4951 and/or (4) Ms. Wanwilai Phetsang, Certified Public Accountant (Thailand) No. 5315 of EY Office Limited as the Company's auditors and determine the audit fees of Baht 1,500,000 for the accounting periods starting from January 1, 2021 to December 31, 2021 and out-of-pocket expenses charged are to be

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reimbursed by the Company at actual cost but not exceed 80,000 Baht as proposed with the votes comprising of:

Agreed	2,131,268,088	votes	equivalent to	99.9997%
Disagreed	6,293	votes	equivalent to	0.0003%
Abstained	0	votes		
Void ballots	0	votes		

Agenda 9 To consider and approve the amendment of the Company's Articles of Association

The Chairman reported to the Meeting that, according to the facts and reasons given in the invitation letter to shareholders, the Company's Board of Directors considered that following the delist of the company's total common shares from the Stock Exchange of Thailand ("SET") effective since March 20, 2020, the regulations of the SET regarding the connected transaction is no longer applied to the Company. It is therefore considered and resolved to propose the Shareholders' Meeting to consider and approve the amendment of the Company's Articles of Association by the revocation of "Chapter 8 Others" which contains only one article, Article 59 stating that "In case that the company enter into a connected transaction, the company shall follow the regulation and procedures announced by the Stock Exchange of Thailand".

After the amendment, the Company's Articles of Association in chapter 8 will read as follows:

"Chapter 8 (revoked)"

Other provisions in the Articles of Association shall remain unchanged.

The shareholders were given an opportunity to ask questions.

No questions were raised. The Chairman then requested the Meeting to consider and vote.

The Meeting resolved with the votes of not less than three-fourths of the total votes of shareholders attending the meeting and having the right to vote according to Section 31, Public Company Limited Act B.E. 2535 to approve the amendment of the Company's Articles of Association as proposed with the votes comprising of:

Agreed	2,131,268,088	votes	equivalent to	99.9997%
Disagreed	0	votes	equivalent to	0.0000%
Abstained	6,293	votes	equivalent to	0.0003%
Void ballots	0	votes		

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Agenda 10 Other matters (if any)

As all agenda in the invitation letter was completely proposed to and resolved by the Meeting, the shareholders were given an opportunity to ask questions (if any).

- Question the shareholder asked the question as follows:

What is the Company's business operation plan? Currently, there was no trading rooms for customers, then is there any branch? Has the company reduced the rental size?

Answer the Chairman answered the shareholder as following:

the Company continues to expand business carefully, by focusing on brokerage business, margin loan business and selling agent business, and emphasize on technology development. In addition, the Company has adjusted number of branches to suit with business environment and client base. At present, in Bangkok, there are Head Office, Convention Center, Bangna branch, Pinklao branch, Vibhavadi-Rangsit branch, while in upcountry, there are Nakhonratchasima branch, Chiangmai branch, Ayuthaya branch, Rayong branch.

Since there were no further questions raised, the Chairman thanked the shareholders for their attendance and declared the Meeting adjourned at 12.00 a.m.

Signed _____ (Signature) _____ Chairman
(Mr. Suthep Peetakanont)

Signed _____ (Signature) _____ Secretary to the Meeting
(Miss Kridsana Kulpanyalert)

(Minutes of the Annual General Meeting of Shareholders No. 1/2564 (Not adopted by the Shareholders' Meeting yet))

Attachment No. 2: Biographies of the Company's Directors retiring by rotation and whose re-election is proposed to the Shareholders' Meeting to be the Company's Directors for another term (Mr. Suthep Peetakanont)



Name	Mr. Suthep Peetakanont	
Current Position	Chairman of the Board of Directors and Chairman of the Board of Executive Directors	
Age (as of 31 December 2021)	59 years	
Education	Master of Business Administration, University of Missouri - Kansas City, U.S.A Bachelor of Commerce and Accountancy, Chulalongkorn University	
Training in Director's program	<ul style="list-style-type: none"> • Anti-Money Laundering & Counter - Terrorism and Proliferation of Weapons of Mass Destruction Financing (AML/CTPF), 2020, Capital Nomura Securities Public Company Limited • Data Privacy Law, 2019, Dr.Yaowaluk Chadbunchachai, EY Corporate Services Co., Ltd. • How Big Data and Digital Technology can transform your business, 2018, Asama Kulvanitchaiyanunt, Ph.D., Coraline Co., Ltd. • Anti-Corruption and AMLO, 2017, Surasak Suthamcharu, Deloitte Touche Tohmatsu Jaiyos Advisory Co.,Ltd. • Big Data, 2017, Apichai Sakulsureeyadej • National e-Payment Roadmap – Benefits to securities companies, 2016, Anuchit Anuchitanukul, Ph.D., Advisor to the Minister of Finance • Corporate Governance for Capital Market Intermediaries (CGI), 2016, Thai Institute of Directors Association (IOD) • Global Stock Selection, 2016, ASCO Training Institute (ATI) • Acknowledge about curious behavior, money laundering laws and preventing rebellion, 2016, ASCO Training Institute (ATI) • The path to becomes perpetual wealth, 2016, ASCO Training Institute (ATI) • Trading TFEX's products by technical analysis, 2016, ASCO Training Institute (ATI) • Case study of complaint and provide guidance, 2014, Investment Analysts Association (IAA) • Trading TFEX SET 50 Index Futures and Gold Futures with strategies, 2014, Investment Analysts Association (IAA) • Technical Fundamentals Analysis in industry group, 2014, Investment Analysts Association (IAA) • Asset Allocation strategies (Bank Deposit, Gold, Mutual Funds, Stock, Bond), 2014, Investment Analysts Association (IAA) • Leader Program 4, 2007, Capital Market Academy • Director Certification Program (DCP), 2000, Thai Institute of Directors Association (IOD) • Chairman 2000, 2002, Thai Institute of Directors Association (IOD) 	
Work experience in the last 5 years	2011 – Present	Chairman of the Board of Directors and Chairman of the Board of Executive Directors, Capital Nomura Securities Public Company Limited
	2012 – 2021	Director, Thailand Futures Exchange PCL
Proposed position to be re-elected	Director	
Nomination Criteria and Process	Considered by the Board of Executive Directors and proposed to the Board of Directors, exclusive of the nominated directors.	
Direct and indirect shareholding in the Company (including spouse) (%)	– None –	

Period of holding Director Position (up to Apr 2022)	Approximately 25 years (Started in 1997)
Meeting Attendance in the fiscal year 2021	Number of the Board of Directors' Meeting 7 times, Number of attendance 7 times
Family relationship among Executives	– None –
Current Director/ Management position in other companies	
- Listed Company	– None –
- Non-Listed Company	– None –
- Company which may have conflicts of Interest	– None –

**Attachment No. 2: Biographies of the Company's Directors retiring by rotation and whose re-election is proposed to the Shareholders' Meeting to be the Company's Directors for another term
(Mr. Katsuya Imanishi)**



Name	Mr. Katsuya Imanishi	
Current Position	President	
Age (as of 31 December 2021)	45 years	
Education	Bachelor of Arts in Political Science and Economics, Waseda University, Japan	
Training in Director’s program	<ul style="list-style-type: none">• Anti-Money Laundering & Counter - Terrorism and Proliferation of Weapons of Mass Destruction Financing (AML/CTPF), 2020, Capital Nomura Securities Public Company Limited• Data Privacy Law, 2019, Dr.Yaowaluk Chadbunchachai, EY Corporate Services Co., Ltd.• Corporate Governance for Capital Market Intermediaries (CGI), 2019, Thai Institute of Directors Association (IOD)• How Big Data and Digital Technology can transform your business, 2018, Asama Kulvanitchaiyanunt, Ph.D., Coraline Co., Ltd.• Anti-Corruption and AMLO, 2017, Surasak Suthamcharu, Deloitte Touche Tohmatsu Jaiyos Co., Ltd.	
Work experience in the last 5 years	May 2017 – Present	President, Capital Nomura Securities Public Company Limited
	Apr 2016 – Mar 2017	Executive Director, Financial Institutions Department, Nomura Securities Co., Ltd.
Proposed position to be re-elected	Director	
Nomination Criteria and Process	Board of Executive Directors gather all information and propose to Board of Directors, exclusive of the nominated directors for consideration.	
Direct and indirect shareholding in the Company (including spouse) (%)	– None –	
Period of holding Director Position (up to Apr 2022)	5 years (Apr 2017 – Apr 2022)	
Meeting Attendance in the fiscal year 2021	Number of the Board of Directors’ Meeting 7 times, Number of attendance 7 times	
Family relationship among Executives	– None –	
Current Director/ Management position in other companies		
- Listed Company	– None –	
- Non-Listed Company	– None –	
- Company which may have conflicts of Interest	– None –	

Attachment No. 3: Registration and Proxy Procedure

1. Registration

The shareholder or whoever attends the meeting as proxy of shareholder has to register and submit the document for verification at the meeting venue before attendance since 8.30 a.m. of April 22, 2022.

2. In case of attendance in person – Individual Shareholder

Original I.D. card, or government official identification card, or passport (for foreigner) is required for registration and attendance. In case where there is a change in name - surname, the appropriate evidence is required.

3. In case of appointment of a proxy (appointee)

The shareholder must appoint only one appointee to attend the meeting and use the attached Proxy Form.

If the shareholder wants to appoint the Company's director to attend and vote in the meeting, please appoint the independent director as following to attend and vote on behalf of the shareholder:

Associate Professor Dr. Danuja Kunpanitchakit, Chairperson of the Audit Committee and Independent Director

Age: 68 Years

Address: 25 Bangkok Insurance Building, 15th – 17th Floor, South Sathorn Road, Thungmahamek, Sathorn, Bangkok 10120

The complete filled-in and signed Proxy Form with Baht 20 of duty stamp has to be submitted to the Chairman of the meeting or the person delegated by the Chairman of the meeting before attendance. Any correction or deletion needs to be certified by the appointer. A duty stamp is available at the registration counter.

Documents required for Proxy

1. For natural person shareholder:

- 1.1 A certified true copy of shareholder's I.D. card or government official identification card or passport (for foreigner) and
- 1.2 The appointee must show the original of his/her I.D. card, or government official identification card, or passport (for foreigner) for registration.

2. For juristic person shareholder:

- 2.1 If the shareholder is the juristic person registered in Thailand:
 - 2.1.1 A copy of the certificate of incorporation issued by the Ministry of Commerce or a copy of the certificate issued by other authorities within 90 days and certified true copy by the authorized person with the company seal (if any) is required and
 - 2.1.2 A certified true copy of I.D. card or passport (for foreigner) of the authorized person signing on the Proxy form and
 - 2.1.3 The appointee must show the original of his/her I.D. card or government official identification card or passport (for foreigner) for registration.
- 2.2 If the shareholder is the juristic person registered outside Thailand:
 - 2.2.1 A letter issued by a notary public certifying that the person who signed for an appointer in the Proxy Form is the person who is duly and lawfully authorized to sign on behalf of the juristic person and
 - 2.2.2 A copy of the certificate of incorporation (no need to be notarized by notary public) and
 - 2.2.3 A certified true copy of passport of the authorized person signing on the Proxy form and
 - 2.2.4 The appointee must show the original of his/her I.D. card, or government official identification card, or passport (for foreigner) for registration.

Attachment No. 4: Biographies of Independent Director, proposed by the Company to serve as proxy for shareholders

Associate Professor Dr. Danuja Kunpanitchakit		Chairperson of the Audit Committee and Independent Director
Age (as of 31 December 2021)	68 years	
Address	25 Bangkok Insurance Building, 15th – 17th Floor, South Sathorn Road, Thungmahamek, Sathorn, Bangkok, 10120	
Education Background	Doctor of Philosophy (Business Administration), University of Wisconsin (Madison), USA Master of Business Administration (Accounting), University of Wisconsin	
Direct and indirect shareholding in CNS (including spouse) (%)	– None –	
Work experience in the last 5 years	2019 - Present	Chairperson of the Audit Committee and Independent Director Capital Nomura Securities Public Company Limited
	2020 - Present	Honorable Committee Member of the University Council Chulalongkorn University
	2020 - Present	Chairperson of the Audit Committee Chulalongkorn University
	2020 - Present	Member of the Investment Policy, Finance and Budgeting Subcommittee Thai Public Broadcasting Service (THAI PBS)
	2019 - Present	Nomination and Remuneration Committee Vinythai Public Company Limited
	2018 - Present	Director of Corporate Governance and Social Responsibility Committee Thai Public Broadcasting Service (THAI PBS)
	2017 - Present	Independent Director and Chairperson of Audit Committee Vinythai Public Company Limited
	2017 - Present	Academic Council Committee Rajamangala University of Technology Phra Nakhon
	2017 - Present	Member of the TFAC Board Thailand Federation of Accounting Professions under the Royal Patronage of His Majesty the King
	2017 - Present	Member of the Audit Subcommittee Deposit Protection Agency
	2016 - Present	Director of Monitoring and Evaluation Committee Suranaree University of Technology
Interest on meeting agenda	– None –	
Special interests which are different from other directors	– None –	

Attachment No. 5: The Company's Articles of Association relating to the Shareholders' Meeting

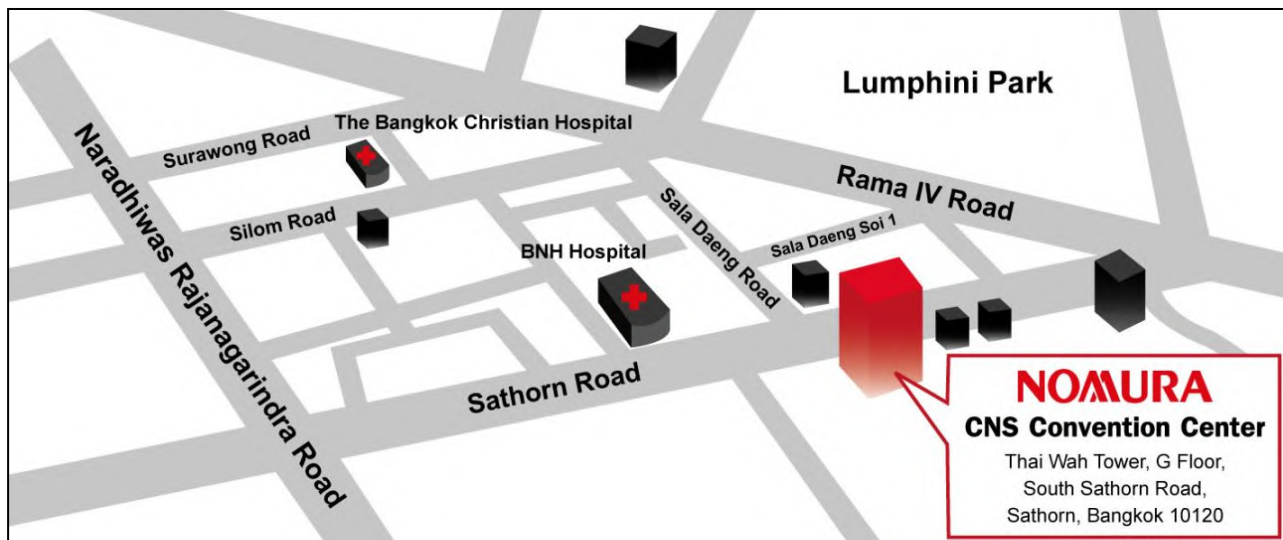
The Company's Articles of Association relating to the Shareholders' Meeting
CHAPTER 3
Shareholders Meetings

- Article 14. The Board of Directors shall convene an annual general meeting of shareholders within four months from the last day of the accounting period of the Company.
- Meetings of shareholders other than those specified above shall be called the extraordinary meetings.
- The Board of Directors may summon an extraordinary meeting whenever it deems appropriate.
- Article 15. Shareholders holding an aggregate of shares of not less than one-fifth of the total number of shares sold, or shareholders to a number of not less than twenty-five persons holding an aggregate of shares of not less than one-tenth of the total number of shares sold, may at any time make a requisition in writing for the Board of Directors to summon an extraordinary meeting, provided that the requisition clearly gives reasons for summoning the shareholders meeting. In such a case, the Board of Directors shall convene the shareholders meeting within one month from the date of receipt of such written requisition from the shareholders.
- Article 16. In summoning a shareholders meetings, the Board of Directors shall prepare a notice of the meeting specifying the place, date, time, agenda and the business to be submitted to the meeting, together with appropriate details stating clearly what will be for acknowledgement, for approval and for consideration, as the case may be, and the opinions of the Board of Directors on the said matters, and shall send the same to the shareholders and the Registrar for information not less than seven days prior to the meeting. Publication of the notice of the meeting shall be made in a newspaper for three consecutive days at least three days prior to the meeting.
- The place of the shareholders meeting shall be in the same locality as the Company's head office or in a neighboring province.
- Article 17. For a shareholders' meeting, there must be shareholders and their proxies (if any) present numbering not less than twenty-five persons or not less than half the total number of shareholders and holding an aggregate of not less than one-third of the total number of shares sold to constitute a quorum.
- If, after one hour from the time fixed for any shareholders' meeting, the number of shareholders present is insufficient to form a quorum as specified in the first paragraph, then if such shareholders' meeting was summoned by a requisition by the shareholders under Article 15, it shall be cancelled, but if such shareholders' meeting was not requisitioned by the shareholders under Article 15, the meeting shall be convened again by the Board of Directors and in the latter case the notice of the meeting shall be sent to the shareholders not less than seven days prior to the date of the meeting. A quorum is not compulsory for the new meeting.
- The shareholders or proxies present at a shareholders' meeting shall have the right to vote according to the number of shares held. One share shall have one vote, except the case where the Company has issued preference shares and has designated that they are entitled to voting right less than that of ordinary shares.
- Article 18. The Chairman of the Board shall preside over the shareholders meeting. If the Chairman of the Board is not present at the meeting or is unable to perform the duties, the Vice-Chairman, if available, shall preside over the meeting. If there is no Vice-Chairman, or the Vice-Chairman is unable to perform the duties, the shareholders present at the meeting shall elect one of the shareholders to preside over the meeting.
- Article 19. Resolutions of the shareholders meeting shall comprise the following votes:
- (1) In the normal case, a majority of the votes of the shareholders who attend the meeting and cast their votes. In the case of equality of votes, the presiding chairman shall have an additional casting vote;
 - (2) In the following case, not less than three-fourths of the total number of votes of the shareholders who attend the meeting and are entitled to vote:
 - (a) The sale or transfer of all or a substantial part of the businesses of the Company to another person;
 - (b) The purchase or acceptance of transfer of a business belonging to another company or a private company by the Company;
 - (c) The execution, amendment or termination of a contract relating to the leasing out of all or a substantial part of the businesses of the Company, the assignment for another person to manage the affairs of the Company, or the consolidation of a business with another person for the purpose of profit and loss sharing;
- Article 20. Transaction that the annual general meeting must conduct are as follows:
- (1) Reviewing the report of the Board of Directors covering the work done during the preceding year;
 - (2) Considering and approving the balance sheet and the profit and loss account;
 - (3) Considering the appropriation of profits;
 - (4) Election of Directors in place of those who must retire by rotation;
 - (5) Appointment of the auditor and the fixing his remuneration;
 - (6) Other business.

Attachment No. 6: Map of the meeting venue for the Annual General Meeting of Shareholders No. 1/2565 (CNS Convention Center)

CNS Convention Center

21/3 Thai Wah Tower, G Floor, South Sathorn Road, Thungmahamek, Sathorn, Bangkok, 10120



สิ่งที่ส่งมาด้วยลำดับที่ 7

Attachment No. 7

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หนังสือมอบฉันทะ แบบที่ 1

Proxy Form No. 1

เขียนที่.....
Made at

วันที่.....เดือน.....พ.ศ.....
Date Month Year

(1) ข้าพเจ้า.....สัญชาติ.....อยู่บ้านเลขที่.....
I / We Nationality Residing at No.

ถนน.....ตำบล/แขวง.....อำเภอ/เขต.....จังหวัด.....รหัสไปรษณีย์.....
Street Sub-district District Province Post Code

(2) เป็นผู้ถือหุ้นของบริษัทหลักทรัพย์ โนมูระ พัฒนสิน จำกัด (มหาชน)

As a shareholder of Capital Nomura Securities Public Company Limited,

โดยถือหุ้นจำนวนทั้งสิ้นรวม.....หุ้น ออกเสียงลงคะแนนได้เท่ากับ.....เสียง ดังนี้
holding a total number of shares, with total voting right of votes as follow :

หุ้นสามัญ.....หุ้น ออกเสียงลงคะแนนได้เท่ากับ.....เสียง
Ordinary share shares, with the voting right of votes

หุ้นบุริมสิทธิ.....หุ้น ออกเสียงลงคะแนนได้เท่ากับ.....เสียง
Preferred share shares, with the voting right of votes

(3) ขอมอบฉันทะให้

Hereby appoint

(1)อายุ.....ปี อยู่บ้านเลขที่.....
Age Years Residing at No.

ถนน.....ตำบล/แขวง.....อำเภอ/เขต.....จังหวัด.....รหัสไปรษณีย์.....หรือ
Street Sub-district District Province Post Code or

(2)อายุ.....ปี อยู่บ้านเลขที่.....
Age Years Residing at No.

ถนน.....ตำบล/แขวง.....อำเภอ/เขต.....จังหวัด.....รหัสไปรษณีย์.....หรือ
Street Sub-district District Province Post Code or

(3)อายุ.....ปี อยู่บ้านเลขที่.....
Age Years Residing at No.

ถนน.....ตำบล/แขวง.....อำเภอ/เขต.....จังหวัด.....รหัสไปรษณีย์.....
Street Sub-district District Province Post Code

สิ่งที่ส่งมาด้วยลำดับที่ 7

Attachment No. 7

คนหนึ่งคนใดเพียงคนเดียวเป็นผู้แทนของข้าพเจ้าเพื่อเข้าประชุมและออกเสียงลงคะแนนแทนข้าพเจ้าในการประชุมสามัญผู้ถือหุ้นประจำปีครั้งที่ 1/2565
anyone of them to be my/our Proxy to attend and vote on my/our behalf at the Shareholders' Annual General Meeting No.1/2565

ในวันที่ 22 เมษายน 2565 เวลา 10.00 น. ณ CNS Convention Center เลขที่ 21/3 อาคารไทยวา ชั้น G ถนนสาทรใต้ แขวงทุ่งมหาเมฆ เขตสาทร
to be held on April 22, 2022 at 10.00 a.m., at CNS Convention Center, 21/3 Thai Wah Tower, G Floor, South Sathorn Road, Thungmahamek, Sathorn,

กรุงเทพมหานคร 10120 หรือจะพึงเลื่อนไปในวัน เวลา และสถานที่อื่นด้วย
Bangkok 10120, or at any adjournment thereof to any other day, time and venue.

กิจการใดที่ผู้รับมอบฉันทะกระทำไปในการประชุมนั้น ให้ถือเสมือนว่าข้าพเจ้าได้กระทำเองทุกประการ
All acts undertaken by the Proxy at this meeting shall be deemed as my/our own act (s) in all respects.

ลงชื่อ.....ผู้มอบฉันทะ
Signed Appointer
(.....)

ลงชื่อ.....ผู้รับมอบฉันทะ
Signed Proxy
(.....)

ลงชื่อ.....ผู้รับมอบฉันทะ
Signed Proxy
(.....)

ลงชื่อ.....ผู้รับมอบฉันทะ
Signed Proxy
(.....)

หมายเหตุ: ผู้ถือหุ้นที่มอบฉันทะ จะต้องมอบฉันทะให้ผู้รับมอบฉันทะเพียงรายเดียวเป็นผู้เข้าประชุมและออกเสียงลงคะแนน ไม่สามารถแบ่งแยกจำนวน
หุ้นให้ผู้รับมอบฉันทะหลายคนเพื่อแยกการลงคะแนนเสียงได้

Remarks: The voting right has to be assigned to only one Proxy, therefore, this voting right cannot be divided and separately assigned to more than one Proxy.

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หนังสือมอบฉันทะ แบบที่ 2

Proxy Form No. 2

เขียนที่.....

Made at

วันที่.....
Dateเดือน.....
Monthพ.ศ.....
Year

(1) ข้าพเจ้า.....

I / We

สัญชาติ.....

Nationality

อยู่บ้านเลขที่.....

Residing at No.

ถนน.....
Streetตำบล/แขวง.....
Sub-districtอำเภอ/เขต.....
Districtจังหวัด.....
Provinceรหัสไปรษณีย์.....
Post Code

(2) เป็นผู้ถือหุ้นของบริษัทหลักทรัพย์ โนมูระ พัฒนสิน จำกัด (มหาชน)

As a shareholder of Capital Nomura Securities Public Company Limited,

โดยถือหุ้นจำนวนทั้งสิ้นรวม.....

holding a total number of

หุ้น ออกเสียงลงคะแนนได้เท่ากับ.....

shares, with total voting right of

เสียง ดังนี้

votes as follow :

หุ้นสามัญ.....

Ordinary share

หุ้น ออกเสียงลงคะแนนได้เท่ากับ.....

shares, with the voting right of

เสียง

votes

หุ้นบุริมสิทธิ.....

Preferred share

หุ้น ออกเสียงลงคะแนนได้เท่ากับ.....

shares, with the voting right of

เสียง

votes

(3) ขอมอบฉันทะให้

Hereby appoint



(1)

อายุ.....

Age

ปี

Years

อยู่บ้านเลขที่.....

Residing at No.

ซอย.....

Soi

ถนน.....

Street

ตำบล/แขวง.....
Sub-districtอำเภอ/เขต.....
Districtจังหวัด.....
Provinceรหัสไปรษณีย์.....
Post Code

หรือ

or



(2)

อายุ.....

Age

ปี

Years

อยู่บ้านเลขที่.....

Residing at No.

ซอย.....

Soi

ถนน.....

Street

ตำบล/แขวง.....
Sub-districtอำเภอ/เขต.....
Districtจังหวัด.....
Provinceรหัสไปรษณีย์.....
Post Code

หรือ

or



(3)

...รองศาสตราจารย์ ดร.ดรุณา คุณพนนิชกิจ ...อายุ.....68.....ปี ที่อยู่ เลขที่...25..... อาคารกรุงเทพประกันภัย ชั้น 15-17

Associate Professor Dr. Danuja Kunpanitchakit, Age 68 Years Residing at No. 25 Bangkok Insurance Building, 15th -17th Floor

ถนน..สาทรใต้..ตำบล/แขวง..ทุ่งมหาเมฆ..อำเภอ/เขต..สาทร..จังหวัด...กรุงเทพฯ...รหัสไปรษณีย์...10120... (ไม่มีส่วนได้เสียในระเบียบวาระการประชุม)

Street...South Sathorn...Sub-district...Thungmahamek.....District...Sathorn...Province.....Bangkok.....Post Code...10120.....(No conflict of interest in any Agenda of the meeting)

คนหนึ่งคนใดเพียงคนเดียวเป็นผู้แทนของข้าพเจ้าเพื่อเข้าประชุมและออกเสียงลงคะแนนแทนข้าพเจ้าในการประชุมสามัญผู้ถือหุ้นประจำปีครั้งที่ 1/2565

anyone of them to be my/our Proxy to attend and vote on my/our behalf at the Shareholders' Annual General Meeting No.1/2565

ในวันที่ 22 เมษายน 2565 เวลา 10.00 น. ณ CNS Convention Center เลขที่ 21/3 อาคารไทยวา ชั้น G ถนนสาทรใต้ แขวงทุ่งมหาเมฆ เขตสาทร

to be held on April 22, 2022 at 10.00 a.m., at CNS Convention Center, 21/3 Thai Wah Tower, G Floor, South Sathorn Road, Thungmahamek, Sathorn,

กรุงเทพมหานคร 10120 หรือจะพึงเลื่อนไปในวัน เวลา และสถานที่อื่นด้วย

Bangkok, 10120, or at any adjournment thereof to any other day, time and venue.

(4) ข้าพเจ้าขอมอบฉันทะให้ผู้รับมอบฉันทะออกเสียงลงคะแนนแทนข้าพเจ้าในการประชุมครั้งนี้ ดังนี้

In this meeting, I/We hereby appoint my/our Proxy to vote as follows:

- ☐ วาระที่ 1 เรื่อง พิจารณารับรองรายงานการประชุมสามัญผู้ถือหุ้นประจำปีครั้งที่ 1/2564 เมื่อวันที่ 28 เมษายน 2564
Agenda 1 Subject: To consider adopting the Minutes of the Annual General Meeting of Shareholders No. 1/2564 held on April 28, 2021

- ☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

- ☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

<input type="checkbox"/> เห็นด้วย.....เสียง	<input type="checkbox"/> ไม่เห็นด้วย.....เสียง	<input type="checkbox"/> งดออกเสียง.....เสียง
Approve	Disapprove	Abstain
	Shares	Shares

- ☐ วาระที่ 2 เรื่อง เรื่องสืบเนื่อง

Agenda 2 Subject: Matter arising.

วาระนี้เป็นวาระเพื่อทราบจึงไม่มีการลงคะแนน
This agenda is for acknowledgement and not casting of vote

- ☐ วาระที่ 3 เรื่อง รับทราบรายงานผลการดำเนินงานของบริษัทฯ สำหรับปีสิ้นสุดวันที่ 31 ธันวาคม 2564

Agenda 3 Subject: To acknowledge the Company's performance for the year ended December 31, 2021

วาระนี้เป็นวาระเพื่อทราบจึงไม่มีการลงคะแนน
This agenda is for acknowledgement and not casting of vote

- ☐ วาระที่ 4 เรื่อง พิจารณาอนุมัติงบการเงินของบริษัทฯ และรายงานของผู้สอบบัญชี สำหรับปีสิ้นสุดวันที่ 31 ธันวาคม 2564

Agenda 4 Subject: To consider and approve the Company's financial statements and the Auditor's report for the year ended December 31, 2021

- ☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

- ☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

<input type="checkbox"/> เห็นด้วย.....เสียง	<input type="checkbox"/> ไม่เห็นด้วย.....เสียง	<input type="checkbox"/> งดออกเสียง.....เสียง
Approve	Disapprove	Abstain
	Shares	Shares

- ☐ วาระที่ 5 เรื่อง พิจารณาอนุมัติการจัดสรรกำไรจากกำไรสุทธิสำหรับปีสิ้นสุดวันที่ 31 ธันวาคม 2564 และการจ่ายเงินปันผล

Agenda 5 Subject: To consider and approve the profit appropriation from the net profit for the year ended December 31, 2021 and the dividend payment.

- ☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

- ☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

<input type="checkbox"/> เห็นด้วย.....เสียง	<input type="checkbox"/> ไม่เห็นด้วย.....เสียง	<input type="checkbox"/> งดออกเสียง.....เสียง
Approve	Disapprove	Abstain
	Shares	Shares

- ☐ วาระที่ 6 เรื่อง พิจารณาแต่งตั้งกรรมการแทนกรรมการที่ถึงคราวต้องออกจากตำแหน่งตามวาระ

Agenda 6 Subject: To consider and approve the appointment of directors to replace those retiring by rotation

- ☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

- ☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

- ☐ การแต่งตั้งกรรมการทั้งหมด
Appointment of all directors

<input type="checkbox"/> เห็นด้วย.....เสียง	<input type="checkbox"/> ไม่เห็นด้วย.....เสียง	<input type="checkbox"/> งดออกเสียง.....เสียง
Approve	Disapprove	Abstain
	Shares	Shares

☐ การแต่งตั้งกรรมการเป็นรายบุคคล

Appointment of the certain directors as follows:

ชื่อกรรมการ (1) นายสุเทพ พิทยานนท์

Name of Director: (1) Mr. Suthep Peetakanont

☐ เห็นด้วย.....เสียง ☐ ไม่เห็นด้วย.....เสียง ☐ งดออกเสียง.....เสียง
Approve Shares Disapprove Shares Abstain Shares

ชื่อกรรมการ (2) นายคัทซึยะ อิมะนิชิ

Name of Director: (2) Mr. Katsuya Imanishi

☐ เห็นด้วย.....เสียง ☐ ไม่เห็นด้วย.....เสียง ☐ งดออกเสียง.....เสียง
Approve Shares Disapprove Shares Abstain Shares

☐ วาระที่ 7 เรื่อง พิจารณานุมัติจ่ายค่าตอบแทนกรรมการ และกรรมการตรวจสอบ

Agenda 7 Subject: To consider and approve the remuneration of directors and members of the Audit Committee

☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร

Authorizing the Proxy to vote for every item at his/her own discretion.

☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้

The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

☐ เห็นด้วย.....เสียง ☐ ไม่เห็นด้วย.....เสียง ☐ งดออกเสียง.....เสียง
Approve Shares Disapprove Shares Abstain Shares

☐ วาระที่ 8 เรื่อง พิจารณาแต่งตั้งผู้สอบบัญชีของบริษัทฯ และกำหนดค่าสอบบัญชี สำหรับรอบระยะเวลาบัญชีเริ่มต้นวันที่

1 มกราคม 2565 และสิ้นสุดวันที่ 31 ธันวาคม 2565

Agenda 8 Subject: To consider the appointment of Company's auditor and the audit fees for the accounting periods starting from January 1, 2022 and ending on December 31, 2022

☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร

Authorizing the Proxy to vote for every item at his/her own discretion.

☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้

The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

☐ เห็นด้วย.....เสียง ☐ ไม่เห็นด้วย.....เสียง ☐ งดออกเสียง.....เสียง
Approve Shares Disapprove Shares Abstain Shares

☐ วาระที่ 9 เรื่อง พิจารณาเรื่องอื่นๆ (ถ้ามี)

Agenda 9 Subject: Other matters (if any).

☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร

Authorizing the Proxy to vote for every item at his/her own discretion.

☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้

The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

☐ เห็นด้วย.....เสียง ☐ ไม่เห็นด้วย.....เสียง ☐ งดออกเสียง.....เสียง
Approve Shares Disapprove Shares Abstain Shares

(5) การลงคะแนนเสียงของผู้รับมอบฉันทะในวาระใดที่ไม่เป็นไปตามที่ระบุไว้ในหนังสือมอบฉันทะนี้ ให้ถือว่าการลงคะแนนเสียงนั้นไม่ถูกต้อง

Voting of the Proxy on any agenda that is not in accordance with my/our instruction stated herein.

และไม่ใช่เป็นการลงคะแนนเสียงของข้าพเจ้าในฐานะผู้ถือหุ้น

will be regarded as incorrect voting and will not be regarded as a vote of shareholder.

(6) ในกรณีที่ข้าพเจ้าไม่ได้ระบุความประสงค์ในการออกเสียงลงคะแนนในวาระใดไว้หรือระบุไว้ไม่ชัดเจน หรือในกรณีที่ประชุมมีการพิจารณา

In case I/We have not stated my/our instruction of how to vote, or such instruction is ambiguous,

หรือลงมติในเรื่องใดนอกเหนือจากเรื่องที่ระบุไว้ข้างต้น รวมถึงกรณีที่มีการแก้ไขเปลี่ยนแปลงหรือเพิ่มเติมข้อเท็จจริงประการใด
or in cases this meeting has to consider or approve any other matter other than the items stated herein, as well as there is

ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
any change of any fact, the Proxy shall be entitled to freely vote at his/her own discretion.

กิจการใดที่ผู้รับมอบฉันทะได้กระทำให้ในการประชุม เว้นแต่กรณีที่ผู้รับมอบฉันทะไม่ออกเสียงตามที่ข้าพเจ้าระบุในหนังสือมอบฉันทะ

Any act undertaken by the Proxy in this meeting, except the case that the Proxy did not vote as instructed herein,

ให้ถือเสมือนว่าข้าพเจ้าได้กระทำให้เองทุกประการ
shall be deemed as my/our own act (s) in every respect.

ลงชื่อ.....ผู้มอบฉันทะ
Signed Appointer
(.....)

ลงชื่อ.....ผู้รับมอบฉันทะ
Signed Proxy
(.....)

ลงชื่อ.....ผู้รับมอบฉันทะ
Signed Proxy
(.....)

ลงชื่อ.....ผู้รับมอบฉันทะ
Signed Proxy
(.....)

- | | |
|----------|---|
| หมายเหตุ | 1. ผู้ถือหุ้นที่มอบฉันทะจะต้องมอบฉันทะให้ผู้รับมอบฉันทะเพียงรายเดียวเป็นผู้เข้าร่วมประชุมและออกเสียงลงคะแนนไม่สามารถแบ่งแยกจำนวนหุ้นให้ผู้รับมอบฉันทะหลายคนเพื่อแยกการลงคะแนนเสียงได้ |
| Remarks | The voting right has to be assigned to only one Proxy, therefore, this voting right cannot be divided and separately assigned to more than one Proxy. |
| | 2. วาระเลือกตั้งกรรมการสามารถเลือกตั้งกรรมการทั้งชุดหรือเลือกตั้งกรรมการเป็นรายบุคคล |
| | For the election of directors, the voter may elect some or all of the nominees. |
| | 3. ในกรณีที่มิวาระที่จะพิจารณาในการประชุมมากกว่าวาระที่ระบุไว้ข้างต้น ผู้มอบฉันทะสามารถระบุเพิ่มเติมได้ในใบประจำต่อแบบหนังสือมอบฉันทะแบบที่ 2 ตามแนบ |
| | In case, there is any agenda, other than those stipulated above, the Proxy may set forth in an Allonge annexed to the form of Proxy No. 2 as attached herewith. |

ใบประจำตอบแบบหนังสือมอบฉันทะแบบที่ 2

Allonge annexed to the Form of Proxy No. 2

การมอบฉันทะในฐานะเป็นผู้ถือหุ้นของบริษัทหลักทรัพย์ ในมูระ พัฒนสิน จำกัด (มหาชน)

The Proxy as a shareholder of Capital Nomura Securities Public Company Limited

ในการประชุมสามัญผู้ถือหุ้นประจำปี ครั้งที่ 1/2565 ในวันที่ 22 เมษายน 2565 เวลา 10.00 น. ณ CNS Convention Center

For the Shareholders' Annual General Meeting No. 1/2565 to be held on April 22, 2022 at 10.00 a.m., at CNS Convention Center,

เลขที่ 21/3 อาคารไทยวา ชั้น G ถนนสาทรใต้ แขวงทุ่งมหาเมฆ เขตสาทร กรุงเทพมหานคร 10120 หรือจะพึงเลื่อนไปในวัน เวลา และสถานที่อื่นด้วย
21/3 Thai Wah Tower, G Floor, South Sathorn Road, Thungmahamek, Sathorn, Bangkok 10120, or at any adjournment thereof to any other day, time and venue.

☐ วาระที่.....เรื่อง.....

Agenda Subject :

☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

☐ เห็นด้วย

Approve

☐ ไม่เห็นด้วย

Disapprove

☐ งดออกเสียง

Abstain

☐ วาระที่.....เรื่อง.....

Agenda Subject :

☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

☐ เห็นด้วย

Approve

☐ ไม่เห็นด้วย

Disapprove

☐ งดออกเสียง

Abstain

☐ วาระที่.....เรื่อง.....

Agenda Subject :

☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
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☐ เห็นด้วย

Approve

☐ ไม่เห็นด้วย

Disapprove

☐ งดออกเสียง

Abstain

☐ วาระที่.....เรื่อง.....

Agenda Subject :

☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

☐ เห็นด้วย

Approve

☐ ไม่เห็นด้วย

Disapprove

☐ งดออกเสียง

Abstain

☐ วาระที่.....เรื่อง.....

Agenda Subject :

☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

☐ เห็นด้วย

Approve

☐ ไม่เห็นด้วย

Disapprove

☐ งดออกเสียง

Abstain

☐ วาระที่.....เรื่อง.....

Agenda Subject :

☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

☐ เห็นด้วย

Approve

☐ ไม่เห็นด้วย

Disapprove

☐ งดออกเสียง

Abstain

☐ วาระที่.....เรื่อง.....

Agenda Subject :

☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

☐ เห็นด้วย

Approve

☐ ไม่เห็นด้วย

Disapprove

☐ งดออกเสียง

Abstain

☐ วาระที่.....เรื่อง เลือกตั้งกรรมการ (ต่อ)

Agenda

To Consider the Election of Directors.

ชื่อกรรมการ

Name of Director:

☐ เห็นด้วย
Approve

☐ ไม่เห็นด้วย
Disapprove

☐งดออกเสียง
Abstain

ชื่อกรรมการ

Name of Director:

☐ เห็นด้วย
Approve

☐ ไม่เห็นด้วย
Disapprove

☐งดออกเสียง
Abstain

ชื่อกรรมการ

Name of Director:

☐ เห็นด้วย
Approve

☐ ไม่เห็นด้วย
Disapprove

☐งดออกเสียง
Abstain

ชื่อกรรมการ

Name of Director:

☐ เห็นด้วย
Approve

☐ ไม่เห็นด้วย
Disapprove

☐งดออกเสียง
Abstain

ชื่อกรรมการ

Name of Director:

☐ เห็นด้วย
Approve

☐ ไม่เห็นด้วย
Disapprove

☐งดออกเสียง
Abstain

ชื่อกรรมการ

Name of Director:

☐ เห็นด้วย
Approve

☐ ไม่เห็นด้วย
Disapprove

☐งดออกเสียง
Abstain

ลงชื่อ.....ผู้มอบอำนาจ
Signed Appointer
(.....)

หนังสือมอบฉันทะ แบบที่ 3

Proxy Form No. 3

(แบบที่ใช้เฉพาะกรณีผู้ถือหุ้นเป็นผู้ลงทุนต่างประเทศและแต่งตั้งให้คัสโตเดียนในประเทศไทย (Custodian) เป็นผู้รับฝากและดูแลหุ้น)
(For Custodian)

เขียนที่.....

Made at

วันที่.....เดือน.....พ.ศ.....
Date Month Year

(1) ข้าพเจ้า.....สำนักงานตั้งอยู่เลขที่.....

I / We

Residing at No.

ถนน.....ตำบล/แขวง.....อำเภอ/เขต.....จังหวัด.....รหัสไปรษณีย์.....
Street Sub-district District Province Post Code

ในฐานะผู้ประกอบธุรกิจเป็นผู้รับฝากและดูแลหุ้น (Custodian) ให้กับ.....
As a custodian for

ซึ่งเป็นผู้ถือหุ้นของบริษัทหลักทรัพย์ โนมูระ พัฒนสิน จำกัด (มหาชน)

Who is a shareholder of Capital Nomura Securities Public Company Limited

โดยถือหุ้นจำนวนทั้งสิ้นรวม.....หุ้น ออกเสียงลงคะแนนได้เท่ากับ.....เสียง ดังนี้
holding a total number of shares, with total voting right of votes as follow:

หุ้นสามัญ.....หุ้น ออกเสียงลงคะแนนได้เท่ากับ.....เสียง
Ordinary share shares, with the voting right of votes

หุ้นบุริมสิทธิ.....หุ้น ออกเสียงลงคะแนนได้เท่ากับ.....เสียง
Preferred share shares, with the voting right of votes

(2) ขอมอบฉันทะให้

Hereby appoint

☐ (1)อายุ.....ปี อยู่บ้านเลขที่.....ซอย.....ถนน.....
Age Years Residing at No. Soi Street

ตำบล/แขวง.....อำเภอ/เขต.....จังหวัด.....รหัสไปรษณีย์.....หรือ
Sub-district District Province Post Code or

☐ (2)อายุ.....ปี อยู่บ้านเลขที่.....ซอย.....ถนน.....
Age Years Residing at No. Soi Street

ตำบล/แขวง.....อำเภอ/เขต.....จังหวัด.....รหัสไปรษณีย์.....หรือ
Sub-district District Province Post Code or

☐ (3) ...รองศาสตราจารย์ดร.ดนูชา คุณพนิชกิจ ...อายุ.....68.....ปี ที่อยู่ เลขที่...25..... อาคารกรุงเทพประกันภัย ชั้น 15-17
Associate Professor Dr. Danuja Kunpanitchakit, Age 68 Years Residing at No. 25 Bangkok Insurance Building, 15th -17th Floor

ถนน..สาทรใต้..ตำบล/แขวง..ทุ่งมหาเมฆ..อำเภอ/เขต..สาทร..จังหวัด..กรุงเทพฯ...รหัสไปรษณีย์...10120... (ไม่มีส่วนได้เสียในระเบียบวาระการประชุม)
Street...South Sathorn...Sub-district...Thungmahamek.....District...Sathorn...Province.....Bangkok.....Post Code...10120.....(No conflict of interest in any Agenda of the meeting)

คนหนึ่งคนใดเพียงคนเดียวเป็นผู้แทนของข้าพเจ้าเพื่อเข้าประชุมและออกเสียงลงคะแนนแทนข้าพเจ้าในการประชุมสามัญผู้ถือหุ้นประจำปีครั้งที่ 1/2565
anyone of them to be my/our Proxy to attend and vote on my/our behalf at the Shareholders' Annual General Meeting No.1/2565

ในวันที่ 22 เมษายน 2565 เวลา 10.00 น. ณ CNS Convention Center เลขที่ 21/3 อาคารไทยวา ชั้น G ถนนสาทรใต้ แขวงทุ่งมหาเมฆ เขตสาทร
to be held on April 22, 2022 at 10.00 a.m., at CNS Convention Center, 21/3 Thai Wah Tower, G Floor, South Sathorn Road, Thungmahamek, Sathorn,
กรุงเทพมหานคร 10120 หรือจะพึงเลื่อนไปในวัน เวลา และสถานที่อื่นด้วย

Bangkok,10120, or at any adjournment thereof to any other day, time and venue.

- (3) ข้าพเจ้าขอมอบฉันทะให้ผู้รับมอบฉันทะเข้าร่วมประชุมและออกเสียงลงคะแนนในครั้งนี้ ดังนี้

In this meeting, I/We hereby appoint my/our Proxy to attend and vote as follows:

- ☐ มอบฉันทะตามจำนวนหุ้นทั้งหมดที่ถือและมีสิทธิออกเสียงลงคะแนนได้

Authorizing the Proxy to attend and vote for the whole of my/our holding shares which have the voting right.

- ☐ มอบฉันทะบางส่วน คือ

Authorizing the Proxy to attend and vote for the partial of my/our holding shares which have the voting right as follows:

<input type="checkbox"/> หุ้นสามัญ.....	หุ้น และมีสิทธิออกเสียงลงคะแนนได้.....	เสียง
Ordinary share	shares , with the voting right of	votes
<input type="checkbox"/> หุ้นบุริมสิทธิ.....	หุ้น และมีสิทธิออกเสียงลงคะแนนได้.....	เสียง
Preferred share	shares, with the voting right of	votes
รวมสิทธิออกเสียงลงคะแนนได้ทั้งหมด.....	เสียง	
Total voting right of	votes	

- (4) ข้าพเจ้าขอมอบฉันทะให้ผู้รับมอบฉันทะออกเสียงลงคะแนนแทนข้าพเจ้าในการประชุมครั้งนี้ ดังนี้

In this meeting, I/We hereby appoint my/our Proxy to vote as follows:

- ☐ วาระที่ 1 เรื่อง พิจารณารับรองรายงานการประชุมสามัญผู้ถือหุ้นประจำปีครั้งที่ 1/2564 เมื่อวันที่ 28 เมษายน 2564

Agenda 1 Subject: To consider adopting the Minutes of the Annual General Meeting of Shareholders No. 1/2564 held on April 28, 2021

- ☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร

Authorizing the Proxy to vote for every item at his/her own discretion.

- ☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้

The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

<input type="checkbox"/> เห็นด้วย.....	เสียง	<input type="checkbox"/> ไม่เห็นด้วย.....	เสียง	<input type="checkbox"/> งดออกเสียง.....	เสียง
Approve	Shares	Disapprove	Shares	Abstain	Shares

- ☐ วาระที่ 2 เรื่อง เรื่องสืบเนื่อง

Agenda 2 Subject: Matter arising.

วาระนี้เป็นวาระเพื่อทราบจึงไม่มีการลงคะแนน

This agenda is for acknowledgement and not casting of vote

- ☐ วาระที่ 3 เรื่อง รับทราบรายงานผลการดำเนินงานของบริษัทฯ สำหรับปีสิ้นสุดวันที่ 31 ธันวาคม 2564

Agenda 3 Subject: To acknowledge the Company's performance for the year ended December 31, 2021

วาระนี้เป็นวาระเพื่อทราบจึงไม่มีการลงคะแนน

This agenda is for acknowledgement and not casting of vote

- ☐ วาระที่ 4 เรื่อง พิจารณานุมัติงบการเงินของบริษัทฯ และรายงานของผู้สอบบัญชี สำหรับปีสิ้นสุดวันที่ 31 ธันวาคม 2564

Agenda 4 Subject: To consider and approve the Company's financial statements and the Auditor's report for the year ended December 31, 2021

- ☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร

Authorizing the Proxy to vote for every item at his/her own discretion.

- ☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้

The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

<input type="checkbox"/> เห็นด้วย.....	เสียง	<input type="checkbox"/> ไม่เห็นด้วย.....	เสียง	<input type="checkbox"/> งดออกเสียง.....	เสียง
Approve	Shares	Disapprove	Shares	Abstain	Shares

- ☐ วาระที่ 5 เรื่อง พิจารณานุมัติการจัดสรรกำไรจากกำไรสุทธิสำหรับปีสิ้นสุดวันที่ 31 ธันวาคม 2564 และการจ่ายเงินปันผล

Agenda 5 Subject: To consider and approve the profit appropriation from the net profit for the year ended December 31, 2021 and the dividend payment.

- ☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร

Authorizing the Proxy to vote for every item at his/her own discretion.

- ☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้

The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

<input type="checkbox"/> เห็นด้วย.....	เสียง	<input type="checkbox"/> ไม่เห็นด้วย.....	เสียง	<input type="checkbox"/> งดออกเสียง.....	เสียง
Approve	Shares	Disapprove	Shares	Abstain	Shares

- ☐ วาระที่ 6 เรื่อง พิจารณาแต่งตั้งกรรมการแทนกรรมการที่ถึงคราวต้องออกจากตำแหน่งตามวาระ
Agenda 6 Subject: To consider and approve the appointment of directors to replace those retiring by rotation

- ☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

- ☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

- ☐ การแต่งตั้งกรรมการทั้งหมด
Appointment of all directors

☐ เห็นด้วย.....เสียง ☐ ไม่เห็นด้วย.....เสียง ☐ งดออกเสียง.....เสียง
Approve Shares Disapprove Shares Abstain Shares

- ☐ การแต่งตั้งกรรมการเป็นรายบุคคล

Appointment of the certain directors as follows:

ชื่อกรรมการ (1) นายสุเทพ पीटकานนท์

Name of Director: (1) Mr. Suthep Peetakanont

☐ เห็นด้วย.....เสียง ☐ ไม่เห็นด้วย.....เสียง ☐ งดออกเสียง.....เสียง
Approve Shares Disapprove Shares Abstain Shares

ชื่อกรรมการ (2) นายคัทซึยะ อิมะนิชิ

Name of Director: (2) Mr. Katsuya Imanishi

☐ เห็นด้วย.....เสียง ☐ ไม่เห็นด้วย.....เสียง ☐ งดออกเสียง.....เสียง
Approve Shares Disapprove Shares Abstain Shares

- ☐ วาระที่ 7 เรื่อง พิจารณานุมัติจ่ายค่าตอบแทนกรรมการ และกรรมการตรวจสอบ

Agenda 7 Subject: To consider and approve the remuneration of directors and members of the Audit Committee

- ☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

- ☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

☐ เห็นด้วย.....เสียง ☐ ไม่เห็นด้วย.....เสียง ☐ งดออกเสียง.....เสียง
Approve Shares Disapprove Shares Abstain Shares

- ☐ วาระที่ 8 เรื่อง พิจารณาแต่งตั้งผู้สอบบัญชีของบริษัทฯ และกำหนดค่าสอบบัญชี สำหรับรอบระยะเวลาบัญชีเริ่มต้นวันที่
1 มกราคม 2565 และสิ้นสุดวันที่ 31 ธันวาคม 2565

Agenda 8 Subject: To consider the appointment of Company's auditor and the audit fees for the accounting periods starting from January 1, 2022 and ending on December 31, 2022

- ☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

- ☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

☐ เห็นด้วย.....เสียง ☐ ไม่เห็นด้วย.....เสียง ☐ งดออกเสียง.....เสียง
Approve Shares Disapprove Shares Abstain Shares

- ☐ วาระที่ 9 เรื่อง พิจารณาเรื่องอื่นๆ (ถ้ามี)

Agenda 9 Subject: Other matters (if any).

- ☐ (ก) ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
Authorizing the Proxy to vote for every item at his/her own discretion.

- ☐ (ข) ให้ผู้รับมอบฉันทะออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้า ดังนี้
The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:

☐ เห็นด้วย.....เสียง ☐ ไม่เห็นด้วย.....เสียง ☐ งดออกเสียง.....เสียง
Approve Shares Disapprove Shares Abstain Shares

- (5) การลงคะแนนเสียงของผู้รับมอบฉันทะในวาระใดที่ไม่เป็นไปตามที่ระบุไว้ในหนังสือมอบฉันทะนี้ ให้ถือว่าการลงคะแนนเสียงนั้นไม่ถูกต้อง และไม่ถือเป็นการลงคะแนนเสียงผู้ถือหุ้น
- Voting of the Proxy on any agenda that is not in accordance with my/our instruction stated herein will be regarded as incorrect voting and will not be regarded as a vote of shareholder.
- (6) ในกรณีที่ข้าพเจ้าไม่ได้ระบุความประสงค์ในการออกเสียงลงคะแนนในวาระใดไว้ หรือระบุไว้ไม่ชัดเจน หรือในกรณีที่ที่ประชุมมีการพิจารณาหรือลงมติในเรื่องใดนอกเหนือจากเรื่องที่ระบุไว้ข้างต้น รวมถึงกรณีที่มีการแก้ไขเปลี่ยนแปลงหรือเพิ่มเติมข้อเท็จจริงประการใด ให้ผู้รับมอบฉันทะมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร
- In case I/We have not stated my/our instruction of how to vote, or such instruction is ambiguous, or in cases this meeting has to consider or approve any other matter other than the items stated herein, as well as there is any change of any fact, the Proxy shall be entitled to freely vote at his/her own discretion.

กิจการใดที่ผู้รับมอบฉันทะได้กระทำไปในการประชุม เว้นแต่กรณีที่ผู้รับมอบฉันทะไม่ออกเสียงตามที่ข้าพเจ้าระบุในหนังสือมอบฉันทะ

Any act undertaken by the Proxy in this meeting, except the case that the Proxy did not vote as instructed herein,

ให้ถือเสมือนว่าข้าพเจ้าได้กระทำเองทุกประการ
shall be deemed as my/our own act (s) in every respect.

ลงชื่อ.....ผู้มอบฉันทะ

Signed Appointer

(.....)

ลงชื่อ.....ผู้รับมอบฉันทะ

Signed Proxy

(.....)

ลงชื่อ.....ผู้รับมอบฉันทะ

Signed Proxy

(.....)

ลงชื่อ.....ผู้รับมอบฉันทะ

Signed Proxy

(.....)

หมายเหตุ (1) หนังสือมอบฉันทะแบบที่ 3 นี้ ใช้เฉพาะกรณีที่ผู้ถือหุ้นที่ปรากฏชื่อในทะเบียนเป็นผู้ลงทุนต่างประเทศและแต่งตั้งให้คัสโตเดียน (Custodian) ในประเทศไทยเป็นผู้รับฝากและดูแลหุ้นให้เท่านั้น

Remarks This Proxy form No.3 is used only for the shareholder whose name is shown in the registered book as a foreign investor and has appointed custodian in Thailand to hold the shares.

(2) หลักฐานที่ต้องแนบพร้อมหนังสือมอบฉันทะ คือ

(1) หนังสือมอบอำนาจจากผู้ถือหุ้นให้คัสโตเดียน (Custodian) เป็นผู้ดำเนินการลงนามในหนังสือมอบฉันทะแทน

(2) หนังสือยืนยันว่าผู้ลงนามในหนังสือมอบฉันทะแทนได้รับอนุญาตประกอบธุรกิจคัสโตเดียน (Custodian)

The document required to be attached to this Proxy form:

(1) Power of Attorney of the shareholder authorizing the custodian to sign on the Proxy form on behalf of the shareholder.

(2) Document confirming that the Proxy permitted to conduct custodian business.

(3) ผู้ถือหุ้นที่มอบฉันทะจะต้องมอบฉันทะให้ผู้รับมอบฉันทะเพียงรายเดียวเป็นผู้เข้าประชุมและออกเสียงลงคะแนน ไม่สามารถแบ่งแยกจำนวนหุ้นให้ผู้รับมอบฉันทะหลายคนเพื่อแยกการลงคะแนนเสียงได้

The voting right has to be assigned to only one Proxy, therefore, this voting right cannot be divided and separately assigned to more than one Proxy.

(4) วาระเลือกตั้งกรรมการสามารถเลือกตั้งกรรมการทั้งชุดหรือเลือกตั้งกรรมการเป็นรายบุคคล

For the election of directors, the voter may elect some or all of the nominees.

(5) ในกรณีที่มีวาระที่จะพิจารณาในการประชุมมากกว่าวาระที่ระบุไว้ข้างต้น ผู้มอบฉันทะสามารถระบุเพิ่มเติมได้ในใบประจำต่อแบบหนังสือมอบฉันทะแบบที่ 3 ตามแนบ

In case, there is any agenda, other than those stipulated above, the Proxy may set forth in an Allonge annexed to the form of Proxy No. 3 as attached herewith.

ใบประจำต่อแบบหนังสือมอบฉันทะแบบที่ 3

Allonge annexed to the Form of Proxy No. 3

การมอบอำนาจในฐานะเป็นผู้ถือหุ้นของบริษัทหลักทรัพย์ ในระยะพัฒนาสิน จำกัด (มหาชน)

The Proxy as a shareholder of Capital Nomura Securities Public Company Limited

ในการประชุมสามัญผู้ถือหุ้นประจำปี ครั้งที่ 1/2565 ในวันที่ 22 เมษายน 2565 เวลา 10.00 น. ณ CNS Convention Center

For the Shareholders' Annual General Meeting No. 1/2565 to be held on April 22, 2022 at 10.00 a.m., at CNS Convention Center,

เลขที่ 21/3 อาคารไทยวา ชั้น G ถนนสาทรใต้ แขวงทุ่งมหาเมฆ เขตสาทร กรุงเทพมหานคร 10120 หรือจะฟังเสียงไปในวัน เวลา และสถานที่อื่นด้วย

21/3 Thai Wah Tower, G Floor, South Sathorn Road, Thungmahamek, Sathorn, Bangkok 10120, or at any adjournment thereof to any other day, time and venue.

<input type="checkbox"/> วาระที่..... Agenda	เรื่อง..... Subject:				
<input type="checkbox"/> (ก) ให้ผู้รับมอบอำนาจมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร Authorizing the Proxy to vote for every items at his/her own discretion.					
<input type="checkbox"/> (ข) ให้ผู้รับมอบอำนาจออกเสียงลงคะแนนตามความประสงค์ของข้าพเจ้าดังนี้ The Proxy shall vote in accordance with my/our instruction as marked (/) in the selected agendas herein:					
<input type="checkbox"/> เห็นด้วย..... Approve	เสียง Shares	<input type="checkbox"/> ไม่เห็นด้วย..... Disapprove	เสียง Shares
			<input type="checkbox"/> งดออกเสียง..... Abstain	เสียง Shares
<input type="checkbox"/> วาระที่..... Agenda	เรื่อง..... Subject:				
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			<input type="checkbox"/> งดออกเสียง..... Abstain	เสียง Shares
<input type="checkbox"/> วาระที่..... Agenda	เรื่อง..... Subject:				
<input type="checkbox"/> (ก) ให้ผู้รับมอบอำนาจมีสิทธิพิจารณาและลงมติแทนข้าพเจ้าได้ทุกประการตามที่เห็นสมควร Authorizing the Proxy to vote for every items at his/her own discretion.					
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			<input type="checkbox"/> งดออกเสียง..... Abstain	เสียง Shares
<input type="checkbox"/> วาระที่..... เรื่อง เลือกตั้งกรรมการ Agenda To Consider the Election of Directors.					
ชื่อกรรมการ..... Name of Director:					
<input type="checkbox"/> เห็นด้วย..... Approve	เสียง Shares	<input type="checkbox"/> ไม่เห็นด้วย..... Disapprove	เสียง Shares
			<input type="checkbox"/> งดออกเสียง..... Abstain	เสียง Shares
ชื่อกรรมการ..... Name of Director:					
<input type="checkbox"/> เห็นด้วย..... Approve	เสียง Shares	<input type="checkbox"/> ไม่เห็นด้วย..... Disapprove	เสียง Shares
			<input type="checkbox"/> งดออกเสียง..... Abstain	เสียง Shares
ชื่อกรรมการ..... Name of Director:					
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			<input type="checkbox"/> งดออกเสียง..... Abstain	เสียง Shares
ชื่อกรรมการ..... Name of Director:					
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			<input type="checkbox"/> งดออกเสียง..... Abstain	เสียง Shares
ชื่อกรรมการ..... Name of Director:					
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			<input type="checkbox"/> งดออกเสียง..... Abstain	เสียง Shares
ลงชื่อ..... ผู้มอบอำนาจ Signed Appointer					
(.....) Appointer					

Attachment No. 9**Guidelines for attending the Annual General Meeting of Shareholders No. 1/2565,
regarding the outbreak of Coronavirus 2019 (COVID-19)**

With our deep concern over the health of the attendees and to express our social responsibility to the outbreak of COVID-19, the Company would like to inform the guidelines for attending the Meeting of Shareholders as follows:

1. The Company requests for shareholders' cooperation to give a proxy to the Company's Independent Director instead of attending the meeting in person to prevent and reduce the risk of the spread of COVID-19. Details and procedures for giving a proxy appear in Attachment No.3 of the Notice of Summoning to attend the Annual General Meeting of Shareholders No. 1/2565. The shareholders can give a proxy to the Company's Independent Director to attend the meeting and vote on behalf of the shareholders in proxy form No. 2 or No. 3 as shown in Attachment No. 7 of the Notice of Summoning to attend the Annual General Meeting of Shareholders No. 1/2565. Proxy form and supporting documents can be sent via registered mail to: **The Company Secretary, Capital Nomura Securities Public Company Limited, 25 Bangkok Insurance Building, 15th – 17th Floor, South Sathorn Road, Thungmahamek, Sathorn, Bangkok 10120.**
2. In the case that the shareholders would like to attend the meeting in person, the Company requests the shareholders to strictly follow the Company's guidelines for organizing the Meeting of Shareholders to prevent and minimize the risk of the spread of COVID-19 as follows:
 - 2.1 Shareholders and proxy are required to register to conduct the questionnaire for COVID-19 screening according to the form attached herewith. All attendees shall fill out the questionnaire truthfully for screening for COVID-19 infection and deliver it to the Company's staff before entering the meeting area.
 - 2.2 The Company has the measures to organize the meeting to reduce the gathering of the attendees in the meeting area by keeping distance at least 1 meter between a person according to the public health practice guidelines to prevent the spread of COVID-19 by providing limited seats in the meeting room. Once the seats are fully occupied, the Company would like to ask for cooperation from the shareholders who attend the meeting in persons to give a proxy to the Company's Independent Director to attend the meeting instead of participating in persons.
 - 2.3 The Company will arrange for body temperature check and arrange for the COVID-19 testing by ATK for the attendees. The Company reserves the right to perform ATK test only for the attendees who are shareholders and proxy who present the completed proxy document and does not allow shareholders and proxy who have body temperature over 37.5 degrees, or who have respiratory symptoms or ATK test positive, or who are at high risk of infection to participate in the meeting room, but in case that the shareholders attending the meeting in person, the shareholders can give a proxy to the Company's Independent Director instead.
 - 2.4 In order to reduce the risk of epidemic, the Company will not provide microphone for asking questions. Attendees who would like to ask any questions, are required to submit their written questions to the Company's staff to submit such questions to the Chairman of the meeting.
 - 2.5 The Company kindly requests the attendees to prepare and wear a facemask at all times.

This is to support Thailand to stop the outbreak of COVID-19 therefore the Company wishes for kind co-operation from shareholders, proxy and all attendees to strictly follow this guideline.

If the situation changes or there are additional requirements from the government agencies regarding the organizing of the Meeting of Shareholders, the Company reserves the right to notify you about the adjustment of such measures via the Company's website at <https://www.nomuradirect.com> for further compliance with the requirements and the announcement of the government agencies and to prevent the spread of COVID-19 as the Company sees as appropriate.

If there are many attendees or the attendees arrive at the same time, there may be a delay in screening and registration for attending the meeting. The Company hereby apologizes for any inconvenience.

**Questionnaire for COVID-19 screening
for the Annual General Meeting of Shareholders No. 1/2565**

Dear Shareholders and Attendees,

Please conduct the questionnaire for COVID-19 screening truthfully. If you answer one or more of the questions with "Yes", the Company reserves the right not to allow you to attend the Meeting of Shareholders and asks for your cooperation to avoid being in the area of the Meeting of Shareholders to reduce the risk of the spreading.

1. Have you been tested positive for the COVID-19 or are you in 14 days quarantine as a suspected case?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2. Do you have any typical COVID-19 symptoms such as colds with fever, headache, sore throat, sneezing, cough, or breathing problems?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3. Do you have a direct exposure with COVID-19 patients without proper use of standard face mask and have other following risk?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3.1 Have you been near or in conversation with COVID-19 patients within 2 meters for more than 5 minutes or have been coughed or sneezed by the patient?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3.2 Have you been in closed areas together with COVID-19 patients for a period of more than 30 minutes, such as in an air-conditioned vehicle in an air-conditioned conference room?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Please sign below to certify the accuracy of the information.

Name-Surname:	
Telephone number:	
Signature:	



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